Local Fluid Dynamical Entropy from Gravity

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Abstract

Spacetime geometries dual to arbitrary fluid flows in strongly coupled $\mathcal{N} = 4$ super Yang Mills theory have recently been constructed perturbatively in the long wavelength limit. We demonstrate that these geometries all have regular event horizons, and determine the location of the horizon order by order in a boundary derivative expansion. Intriguingly, the derivative expansion allows us to determine the location of the event horizon in the bulk as a local function of the fluid dynamical variables. We define a natural map from the boundary to the horizon using ingoing null geodesics. The area-form on spatial sections of the horizon can then be pulled back to the boundary to define a local entropy current for the dual field theory in the hydrodynamic limit. The area theorem of general relativity guarantees the positivity of the divergence of the entropy current thus constructed.

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1 Introduction

Over the last few years, a special class of strongly coupled d-dimensional conformal field theories have been "solved" via the AdS/CFT duality. Quite remarkably, the solution to these theories is given by the equations of $d+1$ dimensional gravity (interacting with other fields) in AdS_{d+1} spacetime. Since the long distance dynamics of any genuinely interacting field theory is well described by the equations of relativistic hydrodynamics, it follows as a prediction of the AdS/CFT correspondence that at long distances, the equations of gravity in an AdS_{d+1} background should reduce to the (relativistic) Navier-Stokes equations in d dimensions. There is now substantial direct evidence for the connection between the long distance equations of gravity on AdS_{d+1} spacetime and d dimensional relativistic fluid dynamics; *cf.*, [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38] for a sampling of the literature on the subject.

In particular, it was noted in [33] that the equations of pure gravity with a negative cosmological constant form a universal subsector in any theory of gravity on AdS spacetime. Following up on earlier work [20, 21, 23], it was demonstrated in [33] (for AdS_5) and more recently in [37] (for AdS_4) that Einstein's equations in this universal sector may be recast, order by order in a boundary derivative expansion, into equations of motion for two collective fields, namely – the 'temperature' and the 'velocity'. These new equations of motion turn out to be simply the relativistic Navier-Stokes equations of fluid dynamics.

The gravitational solutions of [33] and [37] constitute an explicit map from the space of solutions of the hydrodynamic equations to the space of long wavelength gravitational solutions (which are asymptotically AdS).[1] Subject to a regularity condition that we will discuss further below, the solutions of [33, 37] are locally exhaustive in solution space *i.e.*, all long wavelength solutions to Einstein's equations that lie nearby in solution space to a metric dual to a particular fluid flow are themselves metrics dual to slightly perturbed fluid flows. This at first sight surprising result is a consequence of the requirement of regularity.

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[1]By 'long wavelength' solutions, we mean solutions whose spacetime variations are slow on a scale set by their respective boundary extrinsic curvature. Via the AdS/CFT dictionary this is the same as the requirement that the solutions vary slowly on the scale of the inverse temperature associated with the local energy density of the solution.

This requirement cuts down the 9-parameter space of Fefferman-Graham type solutions of AdS_5 spacetime – parameterized by a traceless boundary stress tensor – to the 4-parameter set of solutions of fluid dynamics.

We believe the local exhaustiveness of the gravity solutions dual to fluid dynamics, described in the previous paragraph, in fact generalizes to a global statement. We think it likely, in other words, that the solutions of [33, 37] in fact constitute all long wavelength asymptotically AdS solutions of gravity with a cosmological constant; we pause here to explain why. Every state in a conformal field theory has an associated local energy density and a consequent associated mean free path length scale l_{mfp}, the inverse of the temperature that is thermodynamically associated with this energy density. As a consequence of interactions every state is expected to evolve rapidly – on the time scale l_{mfp} – towards local thermodynamical equilibrium, in an appropriate coarse grained sense,[2] at the local value of the temperature. This approach to local equilibrium is not long wavelength in time and is not well described by fluid dynamics. The dual bulk description of this (short wavelength) phenomenon is presumably gravitational collapse into a black hole. On the other hand, once local equilibrium has been achieved (*i.e.*, a black hole has been formed) the system (if un-forced) slowly relaxes towards global equilibrium. This relaxation process happens on length and time scales that are both large compared to the inverse local temperature, and is well described by fluid dynamics and therefore by the solutions of [33, 37]. In other words it seems plausible that *all* field theory evolutions that are long wavelength in time as well as space are locally equilibrated, and so are well described by fluid dynamics. The discussions of this paragraph, coupled with the AdS/CFT correspondence, motivate the conjecture that the solutions of [33, 37] are the most general regular long wavelength solutions to Einstein's equations in a spacetime with negative cosmological constant in five and four spacetime dimensions respectively.

We pause here to note two aspects of the solutions of [33, 37] that we will have occasion to use below. First, it is possible to foliate these solutions into a collection of tubes, each of which is centered about a radial ingoing null geodesic emanating from the AdS boundary. This is sketched in Fig. 1 for a uniform black brane, where we indicate the tubes on a local portion of the spacetime Penrose diagram.[3] As we will explain below, the congruence of null geodesics (around which each of our tubes is centered) yields a natural map from the boundary of AdS space to the horizon of our solutions. When the width of these tubes in the boundary directions is small relative to the scale of variation of the dual hydrodynamic configurations, the restriction of the solution to any one tube is well-approximated tube-

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[2]The $N \to \infty$ limit of the field theory (dual to the classical limit in gravity) justifies this coarse graining and supresses consequent fluctuations (which are dual to quantum fluctuations in gravity).

[3]This is a causal diagram which captures the entire globally extended spacetime (note that in order for the boundaries to be drawn straight, the singularities are curved, as discussed in [39]). For a realistic collapse scenario, described by the nonuniform solutions of [33], only the right asymptotic region and the future horizon and singularity are present.

wise by the metric of a uniform brane with the local value of temperature and velocity. This feature of the solutions – the fact that they are tube-wise indistinguishable from uniform black brane solutions – is dual to the fact that the Navier-Stokes equations describe the dynamics of locally equilibrated lumps of fluid.

Second, the gravitational solutions constructed in [33] are regular everywhere away from a spacelike surface, and moreover the authors conjectured that this singularity is shielded from the boundary of AdS space by a regular event horizon. We will prove this conjecture by explicitly constructing the event horizon of the solutions of [33] order by order in the derivative expansion. It should be possible to carry out a parallel study for the solutions presented in [37] for four dimensions. We will not carry out such a study here; however, aspects of our discussion are not specific to AdS_5 and can be used to infer the desired features of $2 + 1$ dimensional hydrodynamics. We expect that the results of such a study would be similar to those presented in this paper.

As we have explained above, we study the causal properties – in particular, the structure of the event horizon for the solutions presented in [33]. We then proceed to investigate various aspects of the dynamics – specifically, the entropy production – at this event horizon. In the rest of the introduction, we will describe the contents of this paper in some detail, summarizing the salient points.
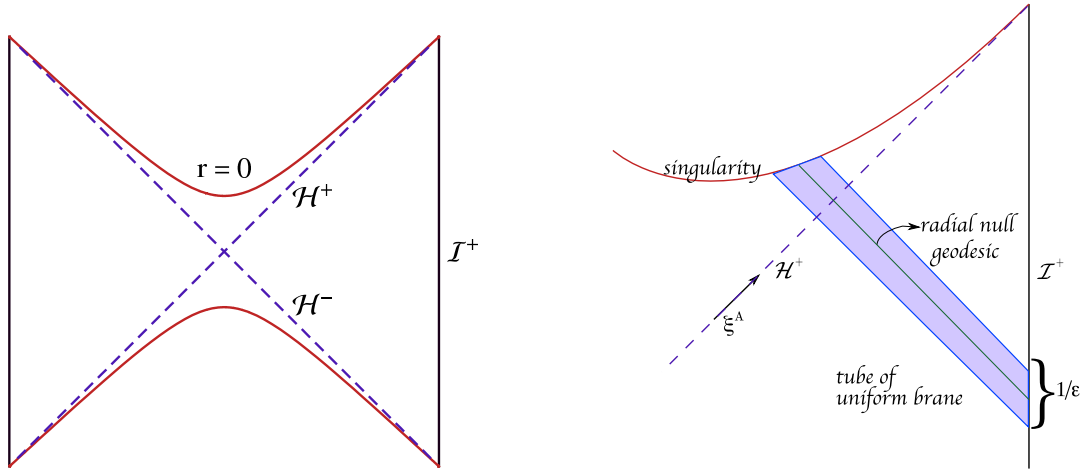
Fig. 1: Penrose diagram of the uniform black brane and the causal structure of the spacetimes dual to fluid mechanics illustrating the tube structure. The dashed line in the second figure denotes the future event horizon, while the shaded tube indicates the region of spacetime over which the solution is well approximated by a tube of the uniform black brane.

As we have discussed above, [33] provides a map from the space of solutions of fluid dynamics to a spacetime that solves Einstein's equations. The geometry we obtain out of this map depends on the specific solution of fluid dynamics we input. In this paper we

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restrict attention to fluid dynamical configurations that approach uniform homogeneous flow at some fixed velocity $u_\mu^{(0)}$ and temperature $T^{(0)}$ at spatial infinity. It seems intuitively clear from the dissipative nature of the Navier-Stokes equations that the late time behaviour of all fluid flows with these boundary conditions will eventually become $u_\mu(x) = u_\mu^{(0)}$ and $T(x) = T^{(0)}$; we assume this in what follows.[4] The gravitational dual to such an equilibrated fluid flow is simply the metric of a uniformly boosted black brane.

The causal structure of the uniform black brane is given by the Penrose diagram plotted in Fig. 1 (see [39]). In particular, the equation for the event horizon of a uniform black brane is well known. The event horizon of the metric dual to the full fluid flow is simply the unique null hypersurface that joins with this late time event horizon in the asymptotic future.[5] It turns out to be surprisingly simple to construct this hypersurface order by order in the boundary derivative expansion used in [33]. In this paper, we perform this construction up to second order in derivatives. Within the derivative expansion it turns out that the radial location of the event horizon is determined locally by values and derivatives of fluid dynamical velocity and temperature at the corresponding boundary point. This is achieved using the boundary to horizon map generated by the congruence of ingoing null geodesics described above (see Fig. 1).[6]

However, while locality is manifest in a derivative expansion, upon summing all orders we expect this local behaviour to transmute into a limited nonlocality: the radial position of the event horizon at a given point should be determined by the values of fluid dynamical variables in a patch of size $1/T$ centered around the associated boundary point. The ateleological behaviour of the event horizon is a surprising feature of these solutions and implies that the event horizon behaves as a 'membrane' whose vibrations are a local mirror of fluid dynamics. Our explicit construction of the event horizon of the metrics dual to fluid dynamics is one of the key results of our paper; *cf.*, (5.4).

We now turn to a description of our second main result; the construction of an entropy current with non-negative divergence for a class of asymptotically AdS solutions of grav-

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[4]This is true for conformal fluid dynamics in d spacetime dimensions for $d \geq 3$. Conformal fluid dynamics in $1+1$ dimensions is not dissipative (for instance it is non-viscous since here the shear tensor does not exist). More generally, there are as many degrees of freedom in a traceless $1+1$ dimensional stress tensor as in temperature and velocity fields in $1+1$ dimensions. Consequently, the most general solution to $1+1$ dimensional 'fluid dynamics' is simply given by $T_{++} = f(\sigma^+)$ and $T_{--} = h(\sigma^-)$ for arbitrary functions g and h. This solution describes left and right moving waves that maintain their shape forever, propagating non-dissipatively at the speed of light. We thank A. Strominger discussions on this point.

[5]Note that for a generic hydrodynamic solution, the bulk spacetime has no manifest isometries; the event horizon is therefore not a Killing horizon.

[6]This map may be motivated as follows. Consider perturbing the fluid at a boundary point x^μ, *e.g.*, by turning on some local operator of Yang Mills theory. This perturbation instanteneously alters all fluid quantities, including the entropy, at x^μ. However, it only alters the geometry near the horizon at and within the lightcone emanating from x^μ at the boundary. It is therefore plausible that local properties of the spacetime in the neighbourhood of ingoing geodesics that emanate from x^μ capture properties of the fluid at x^μ.

ity, and its explicit evaluation for the solutions of [33] at second order in the derivative expansion.[7] As we will see in § 3, it is possible to define a natural area $(d-1)$-form on any event horizon in a $d+1$ dimensional spacetime in general relativity. This form is defined to ensure that its integral over any co-dimension one spatial slice of the horizon is simply the area of that submanifold. It follows almost immediately from the definition of this form and the classic area increase theorems of general relativity that the exterior derivative (on the event horizon) of this $(d-1)$-form, viewed of as a top dimensional form on the horizon, is 'positive' (we explain what we mean by the positivity of a top form on the horizon in § 3.1).

The positivity of the exterior derivative of the area $(d-1)$-form is a formally elegant restatement of the area increase theorem of general relativity that is local on the horizon. Hence we would like to link this statement to the positivity of the entropy production in the boundary theory. However, at least naively, the CFT fluid dual to our solutions lives at the boundary of AdS space rather than on its horizon. If we wish to study the interplay between the local notion of entropy of the fluid and the fluid equations of motion, it is important for these quantities to be defined on the same space. In order to achieve this, in § 4 we use a congruence of null geodesics described above to provide a 'natural' map from the boundary to the horizon for a class of asymptotically AdS solutions of gravity (which include but are slightly more general than those of [33]). The pullback of the area $(d-1)$-form under this map now lives at the boundary, and also has a 'positive' exterior derivative. Consequently, the 'entropy current', defined as the boundary Hodge dual to the pull-back of the area $(d-1)$-form on the boundary (with appropriate factors of Newton's constant), has non-negative divergence, and so satisfies a crucial physical requirement for an entropy current of fluid dynamics.

In § 5, we then proceed to implement the construction described in the previous paragraph for the solutions of [33]. This enables us to derive an expression for the entropy current, J_S^μ, with non-negative divergence, valid up to second order in the derivative expansion. As a check of our final result, we use the equations of fluid dynamics to independently verify the non-negativity of divergence of our entropy current at third order in the derivative expansion. An example of an entropy current for a conformal fluid with non-negative divergence was first described in [40].

We also take the opportunity to extend and complete the analysis presented in [40] to find the most general Weyl covariant two derivative entropy current consistent with the second law. Note that the requirement of pointwise non-negativity of the entropy production – which we impose as a physical constraint of acceptable entropy currents – carries useful information even within the derivative expansion, though this is a little subtle to unravel. In particular, in § 6 we present a parameterization of the most general (7 param-

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[7]We have been informed that A. Strominger and S. Hartnoll have independently discussed the construction of a positive divergence entropy current on the horizon, utilizing Brown York stress tensor.

eter) class of Weyl invariant candidate entropy currents that has the correct equilibrium limit, to second order in the derivative expansion. We also demonstrate that only a five dimensional sub-class of these currents is consistent with the requirement of pointwise non-negativity of $\partial_\mu J_S^\mu$ to third order in derivatives. We then turn our attention to the arbitrariness of our gravitational construction of the entropy current and demonstrate that there appears to be a two parameter family of physically acceptable generalizations of this bulk construction (associated with physically acceptable generalizations of the boundary to horizon map and also the generalisations of the area $(d-1)$-form itself). As a result, we conclude that the gravitational construction presented in this paper yields a two dimensional sub-class in the five dimensional space of entropy currents with non-negative divergence. It would interesting to understand directly from field theory, what principle (if any) underlies the selection of this distinguished class of entropy currents. It would also be interesting to investigate whether the remaining positive entropy currents may be obtained from a generalized gravitational procedure, perhaps involving apparent and other local horizons.[8]

This paper is organized as follows. In § 2 below, we present an order by order construction of the event horizon in a class of metrics that include those of [33]. Following that, in § 3, we present our construction of a local entropy $(d-1)$-form for the event horizons in gravity, and then implement our constructions in detail for the class of metrics studied in § 2. In § 5, we specialize the results of § 2 and § 3 to the metrics dual to fluid dynamics [33], using a map for translating horizon information to the boundary developed in § 4. We obtain explicit formulae, to second order in the derivative expansion, for the event horizon and the entropy current in the geometries of [33]. In § 6, we explain in detail the nature of the constraint imposed on second order terms in the expansion of the entropy current by the requirement of non-negativity of entropy production at third order in the derivative expansion. We also investigate the relationship between the geometrically constructed entropy current and the general entropy current of non-negative divergence generalizing the analysis of [40]. Finally, in § 7, we end with a discussion of our results and open questions. Some technical results regarding the computations are collected in various Appendices.

2   The Local Event Horizon

As we have explained in the introduction, in this paper we will study the event horizon of the metrics dual to fluid dynamics presented in [33]. In that reference the authors construct an explicit classical spacetime dual to an arbitrary solution of fluid dynamics, accurate to second order in the boundary derivative expansion. While the explicit solutions of [33] are rather involved, we will see below that the structure of the event horizons of these solutions

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[8]We thank A. Strominger and M. Van Raamsdonk for discussions on this point.

are insensitive to many of these details. Consequently, in this section we will describe the metric of [33] only in general structural form, and carry out all our computations for an arbitrary spacetime of this form. In § 5 we will specialize these calculations to the detailed metrics of [33]. We start by presenting a geometric interpretation for the coordinate system used in [33].

2.1 Coordinates adapted to a null geodesic congruence

Consider a null geodesic congruence (*i.e.*, a family of null geodesics with exactly one geodesic passing through each point) in some region of an arbitrary spacetime. Let Σ be a hypersurface that intersects each geodesic once. Let x^μ be coordinates on Σ. Now ascribe coordinates (ρ, x^μ) to the point at an affine parameter distance ρ from Σ, along the geodesic through the point on Σ with coordinates x^μ. Hence the geodesics in the congruence are lines of constant x^μ. In this chart, this metric takes the form

$$ds^2 = -2\, u_\mu(x)\, d\rho\, dx^\mu + \widehat{\chi}_{\mu\nu}(\rho, x)\, dx^\mu\, dx^\nu, \tag{2.1}$$

where the geodesic equation implies that u_μ is independent of ρ. It is convenient to generalize slightly to allow for non-affine parametrization of the geodesics: let r be a parameter related to ρ by $d\rho/dr = \mathcal{S}(r, x)$. Then, in coordinates (r, x), the metric takes the form[9]

$$ds^2 = G_{MN}\, dX^M\, dX^N = -2\, u_\mu(x)\, \mathcal{S}(r, x)\, dr\, dx^\mu + \chi_{\mu\nu}(r, x)\, dx^\mu\, dx^\nu \tag{2.2}$$

Note that Σ could be spacelike, timelike, or null. We shall take Σ to be timelike.

This metric has determinant $-\mathcal{S}^2 \chi^{\mu\nu} u_\mu u_\nu \det \chi$, where $\chi^{\mu\nu}$ is the inverse of $\chi_{\mu\nu}$. Hence the metric and its inverse will be smooth if $\mathcal{S}$, u_μ and $\chi_{\mu\nu}$ are smooth, with $\mathcal{S} \neq 0$, $\chi_{\mu\nu}$ invertible, and $\chi^{\mu\nu} u_\mu$ timelike. These conditions are satisfied on, and outside, the horizons of the solutions that we shall discuss below.

2.2 Spacetime dual to hydrodynamics

The bulk metric of [33] was obtained in a coordinate system of the form (2.2) just described, where the role of Σ is played by the conformal boundary and the null geodesics are future-directed and ingoing at the boundary. The key assumption used to derive the solution is that the metric is a slowly varying function of x^μ or, more precisely, that the metric

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[9]We use upper case Latin indices $\{M, N, \cdots\}$ to denote bulk directions, while lower case Greek indices $\{\mu, \nu, \cdots\}$ will refer to field theory or boundary directions. Furthermore, we use lower case Latin indices $\{a, b, i, j, \cdots\}$ to denote the spatial directions in the boundary. Finally, we use (x) to indicate the dependence on the four coordinates x^μ. Details regarding the conventions used in this paper can be found in Appendix A.

functions have a perturbative expansion (with a small parameter ϵ):

$$\mathcal{S}(r,x) = 1 - \sum_{k=1}^{\infty} \epsilon^k \, s_a^{(k)} \, , \tag{2.3}$$

$$\chi_{\mu\nu}(r,x) = -r^2 \, f(b\,r) \, u_\mu \, u_\nu + r^2 \, P_{\mu\nu} + \sum_{k=1}^{\infty} \epsilon^k \left(s_c^{(k)} \, r^2 \, P_{\mu\nu} + s_b^{(k)} \, u_\mu \, u_\nu + j_\nu^{(k)} \, u_\mu + j_\mu^{(k)} \, u_\nu + t_{\mu\nu}^{(k)} \right) \, . \tag{2.4}$$

The function $f(y)$ above has the form $f = 1 - \frac{1}{y^4}$; however, the only property of f that we will use is that $f(1) = 0$. The remaining functions ($s_a^{(k)}$, $s_b^{(k)}$ ...) are all local functions of the inverse temperature $b(x)$ and the velocity $u^\mu(x)$ and the coordinate r, whose form was determined in [33] and is indicated below in (5.1) and given explicitly in Appendix A; we however will not need the specific form of these functions for the present discussion. As far as the calculations in this section are concerned, the expressions $s_a^{(k)}, s_b^{(k)}, s_c^{(k)}, j_\mu^{(k)}$ and $t_{\mu\nu}^{(k)}$ may be thought of as arbitrary functions of r and x^μ. The tensor $P_{\mu\nu} = \eta_{\mu\nu} + u_\mu \, u_\nu$ is a co-moving spatial projector.

In the above formulae, ϵ is a formal derivative counting parameter. Any expression that multiplies ϵ^k in (2.3) and (2.4) is of kth order in boundary field theory derivatives. Note that any boundary derivative of any of the functions above is always accompanied by an additional explicit power of ϵ. As in [33], all calculations in this paper will be performed order by order in ϵ which is then set to unity in the final results. This is a good approximation when field theory derivatives are small in units of the local temperature.

As we have explained in the Introduction, the metrics presented in [33] simplify to the uniform black brane metric at late times. This metric describes a fluid configuration with constant u^μ and b. As the derivative counting parameter ϵ vanishes on constant configurations, all terms in the summation in (2.3) and (2.4) vanish on the uniform black brane configuration. The event horizon of this simplified metric is very easy to determine; it is simply the surface $r = \frac{1}{b}$. Consequently, the event horizon $\mathcal{H}$ of the metric (2.2) has a simple mathematical characterization; it is the unique null hypersurface that reduces exactly, at infinite time to $r = \frac{1}{b}$.

In § 2.3 we will describe a local construction of a null hypersurface in the metric (2.2). Our hypersurface will have the property that it reduces exactly to $r = 1/b$ when u^μ and b are constants, and therefore may be identified with the event horizon for spacetimes of the form (2.2) that settle down to constant u^μ and b at late times, as we expect for metrics dual to fluid dynamics. We will evaluate our result for the metrics of [33] in § 5 where we will use the explicit expressions for the functions appearing in (2.2).

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2.3 The event horizon in the derivative expansion

When ϵ is set to zero and b and u_μ are constants, the surface $r = \frac{1}{b}$ is a null hypersurface in metrics (2.2). We will now determine the corrected equation for this null hypersurface at small ϵ, order by order in the ϵ expansion. As we have explained above, this hypersurface will be physically interpreted as the event horizon $\mathcal{H}$ of the metrics presented in [33].

The procedure can be illustrated with a simpler example. Consider the Vaidya spacetime, describing a spherically symmetric black hole with ingoing null matter:

$$ds^2 = -\left(1 - \frac{2\,m(v)}{r}\right) dv^2 + 2\,dv\,dr + r^2\,d\Omega^2 \ . \tag{2.5}$$

Spherical symmetry implies that the horizon is at $r = r(v)$, with normal $n = dr - \dot{r}\,dv$. Demanding that this be null gives $r(v) = 2\,m(v) + 2\,r(v)\,\dot{r}(v)$, a first order ODE for $r(v)$. Solving this determines the position of the horizon *non-locally* in terms of $m(v)$. However, if we assume that $m(v)$ is slowly varying and approaches a constant for large v, *i.e.*,

$$\dot{m}(v) = \mathcal{O}(\epsilon)\ , m\,\ddot{m} = \mathcal{O}(\epsilon^2),\ etc., \qquad \text{and} \qquad \lim_{v\to\infty} m(v) = m_0 \tag{2.6}$$

then we can solve by expanding in derivatives. Consider the ansatz, $r = 2\,m + a\,m\,\dot{m} + b\,m\,\dot{m}^2 + c\,m^2\,\ddot{m} + \ldots$, for some constants $a, b, c, \ldots$; it is easy to show that the solution for the horizon is given by $a = 8$, $b = 64$, $c = 32$, *etc.*. Hence we can obtain a *local* expression for the location of the horizon in a derivative expansion.

Returning to the spacetime of [33], let us suppose that the null hypersurface that we are after is given by the equation

$$S_\mathcal{H}(r, x) = 0\ , \qquad \text{with} \qquad S_\mathcal{H}(r, x) = r - r_H(x)\ . \tag{2.7}$$

As we are working in a derivative expansion we take

$$r_H(x) = \frac{1}{b(x)} + \sum_{k=1}^\infty \epsilon^k\, r_{(k)}(x) \tag{2.8}$$

Let us denote the normal vector to the event horizon by ξ^A: by definition,

$$\xi^A = G^{AB}\,\partial_B S_\mathcal{H}(r, x) \tag{2.9}$$

which also has an ϵ expansion. We will now determine $r_{(k)}(x)$ and $\xi^A_{(k)}(x^\mu)$ order by order in ϵ. In order to compute the unknown functions $r_{(k)}(x)$ we require the normal vector ξ^A

to be null, which amounts to simply solving the equation

$$G^{AB} \partial_A S_{\mathcal{H}} \partial_B S_{\mathcal{H}} = 0 \tag{2.10}$$

order by order in perturbation theory. Note that

$$dS_{\mathcal{H}} = dr - \epsilon \partial_\mu r_H \; dx^\mu \qquad \text{where} \qquad \epsilon \partial_\mu r_H = -\frac{\epsilon}{b^2} \partial_\mu b + \sum_{n=1}^{\infty} \epsilon^{n+1} \partial_\mu r_{(n)} \; . \tag{2.11}$$

In particular, to order ϵ^n, only the functions $r_{(m)}$ for $m \le n-1$ appear in (2.11). However, the LHS of (2.10) includes a contribution of two factors of dr contracted with the metric. This contribution is equal to G^{rr} evaluated at the horizon. Expanding this term to order ϵ^n we find a contribution

$$\frac{1}{\kappa_1 \, b} r_{(n)}$$

where κ_1 is defined in (2.15) below, together with several terms that depend on $r_{(m)}$ for $m \le n-1$. It follows that the expansion of (2.10) to n^{th} order in ϵ yields a simple algebraic expression for $r_{(n)}$, in terms of the functions $r_{(1)}, r_{(2)}, \cdots, r_{(n-1)}$ which are determined from lower order computations.

More explicitly, equation (2.10) gives $G^{rr} - 2\,\epsilon\,\partial_\mu r_H \, G^{r\mu} + \epsilon^2 \, \partial_\mu r_H \, \partial_\nu r_H \, G^{\mu\nu} = 0$, with the inverse metric G^{MN} given by:

$$G^{rr} = \frac{1}{-\mathcal{S}^2 \, u_\mu \, u_\nu \, \chi^{\mu\nu}} \, , \qquad G^{r\alpha} = \frac{\mathcal{S} \, \chi^{\alpha\beta} \, u_\beta}{-\mathcal{S}^2 \, u_\mu \, u_\nu \, \chi^{\mu\nu}} \, , \qquad G^{\alpha\beta} = \frac{\mathcal{S}^2 \, u_\gamma \, u_\delta \left(\chi^{\alpha\beta} \, \chi^{\gamma\delta} - \chi^{\alpha\gamma} \, \chi^{\beta\delta} \right)}{-\mathcal{S}^2 \, u_\mu \, u_\nu \, \chi^{\mu\nu}} \, . \tag{2.12}$$

where the 'inverse d-metric' $\chi^{\mu\nu}$ is defined via $\chi_{\mu\nu} \, \chi^{\nu\rho} = \delta_\mu{}^\rho$. Hence the expression for the location of the event horizon (2.10) to arbitrary order in ϵ is obtained by expanding

$$0 = \frac{1}{-\mathcal{S}^2 \, u_\mu \, u_\nu \, \chi^{\mu\nu}} \left(1 - 2\,\epsilon\,\mathcal{S} \, \chi^{\alpha\beta} \, u_\beta \, \partial_\alpha r_H - \epsilon^2 \, \mathcal{S}^2 \left(\chi^{\alpha\beta} \, \chi^{\gamma\delta} - \chi^{\alpha\gamma} \, \chi^{\beta\delta} \right) u_\gamma \, u_\delta \, \partial_\alpha r_H \, \partial_\beta r_H \right) \tag{2.13}$$

to the requisite order in ϵ, using the expansion of the individual quantities $\mathcal{S}$ and r_H specified above, as well as of $\chi^{\mu\nu}$.

2.4 The event horizon at second order in derivatives

The equation (2.10) is automatically obeyed at order ϵ^0. At first order in ϵ we find that the location of the event horizon is given by $r = r_H^{(1)}$ with[10]

$$r_H^{(1)}(x) = \frac{1}{b(x)} + r_{(1)}(x) = \frac{1}{b} + \kappa_1 \left(s_b^{(1)} - \frac{2}{b^2} u^\mu \partial_\mu b \right) . \tag{2.14}$$

where we define

$$\frac{1}{\kappa_m} = \frac{\partial^m}{\partial r^m} \left(r^2 f(b\,r) \right) \bigg|_{r=\frac{1}{b}} \tag{2.15}$$

At next order, $\mathcal{O}(\epsilon^2)$, we find

$$r_H^{(2)}(x) = \frac{1}{b} + \kappa_1 \left(s_b^{(1)} + \partial_r s_b^{(1)} r_H^{(1)} - \frac{2}{b^2} \left(1 - s_a^{(1)} \right) u^\mu \partial_\mu b + s_b^{(2)} + 2\, u^\mu \partial_\mu r_{(1)} \right.$$
$$\left. - \frac{1}{b^2} P^{\mu\nu} \left(b^2\, j_\mu^{(1)} + \partial_\mu b \right) \left(b^2\, j_\nu^{(1)} + \partial_\nu b \right) - \frac{1}{2\,\kappa_2}\, r_{(1)}^2 \right) \tag{2.16}$$

where we have[11]

$$P^{\mu\nu} = u^\mu u^\nu + \eta^{\mu\nu} \qquad \text{and} \qquad \eta^{\mu\nu} = diag(-1,1,1,1) .$$

As all functions and derivatives in (2.14) and (2.16) are evaluated at $r = 1/b$ and the point x^μ and we retain terms to $\mathcal{O}(\epsilon)$ and $\mathcal{O}(\epsilon^2)$ respectively.

It is now simple in principle to plug (2.16) into (2.2) to obtain an explicit expression for the metric $H_{\mu\nu}$ of the event horizon.[12] We will choose to use the coordinates x^μ to parameterize the event horizon. The normal vector ξ^A is a vector in the tangent space of the event horizon (this follows since the hypersurface is null), i.e.,

$$\xi^A \frac{\partial}{\partial X^A} = n^\mu \frac{\partial}{\partial x^\mu} + n^r \frac{\partial}{\partial r} , \tag{2.17}$$

---

[10]We have used here the fact that $u^\mu\, j_\mu^{(k)} = 0$ and $u^\mu\, t_{\mu\nu}^{(k)} = 0$ which follow from the solution of [33]. We also restrict to solutions which are asymptotically AdS$_5$ in this section.

[11]It is important to note that in our expressions involving the boundary derivatives we raise and lower indices using the boundary metric $\eta_{\mu\nu}$; in particular, $u^\mu \equiv \eta^{\mu\nu} u_\nu$ and with this defintion $u^\mu u_\mu = -1$.

[12]There are thus three metrics in play; the bulk metric defined in (2.2), the boundary metric which is fixed and chosen to be $\eta_{\mu\nu}$ and finally the metric on the horizon $\mathcal{H}$, $H_{\mu\nu}$, which we do not explicitly write down. As a result there are differing and often conflicting notions of covariance; we have chosen to write various quantities consistently with boundary covariance since at the end of the day we are interested in the boundary entropy current.

which is easily obtained by using the definition (2.9) and the induced metric on the event horizon; namely

$$
\begin{aligned}
n^\mu = &\left(1 + s_a^{(1)} + (s_a^{(1)})^2 + s_a^{(2)}\right) u^\mu - \frac{1}{r^4}(t^{(1)})^{\mu\nu}\left(j_\nu^{(1)} + \frac{\partial_\nu b}{b^2}\right) \\
&+ \frac{1}{r^2}P^{\mu\nu}\left(j_\nu^{(1)}\left(1 + s_a^{(1)} - s_c^{(1)}\right) + \frac{\partial_\nu b}{b^2}\left(1 - s_c^{(1)}\right) + j_\nu^{(2)} - \partial_\nu r_{(1)}\right)\ .
\end{aligned}
$$

(2.18)

Before proceeding to analyze the entropy current associated with the local area-form on this event horizon, let us pause to consider the expression (2.16). First of all, we see that for generic fluids with varying temperature and velocity, the radial coordinate $r = r_H$ of the horizon varies with x^μ, which, to the first order in the derivative expansion, is given simply by the local temperature. The constraints on this variation are inherited from the equations of relativistic fluid dynamics which govern the behaviour of these temperature and velocity fields, as discussed above. Note that the variation of r_H at a given x^i and as a function of time, can of course be non-monotonic. As we will see in the next section, only the local area needs to increase. This is dual to the fact that while a local fluid element may warm up or cool down in response to interacting with the neighbouring fluid, the local entropy production is always positive. An example of the behaviour of $r_H(x)$ is sketched in the spacetime diagram of Fig. 2, with time plotted vertically and the radial coordinate as well as one of the spatial x^i coordinates plotted horizontally.

3   The Local Entropy Current

Having determined the location of the event horizon, it is a simple matter to compute the area of the event horizon to obtain the area of the black brane. However, as we wish to talk about the spatio-temporal variation of the entropy, we will first describe entropy production in a local setting. This will allow us to derive an expression for the boundary entropy current in § 5.

3.1   Abstract construction of the area $(d-1)$-form

In this brief subsection we present the construction of the area $d-1$ form on the spatial section of any event horizon of a $d+1$ dimensional solution of general relativity.

First, recall that the event horizon is a co-dimension one null submanifold of the $d+1$ dimensional spacetime. As a result its normal vector lies in its tangent space. The horizon generators coincide with the integral curves of this normal vector field, which are in fact null geodesics[13] that are entirely contained within the event horizon. Let us choose coordinates

---

[13]This follows from the fact that the event horizon is the boundary of the past of future infinity $\mathcal{I}^+$
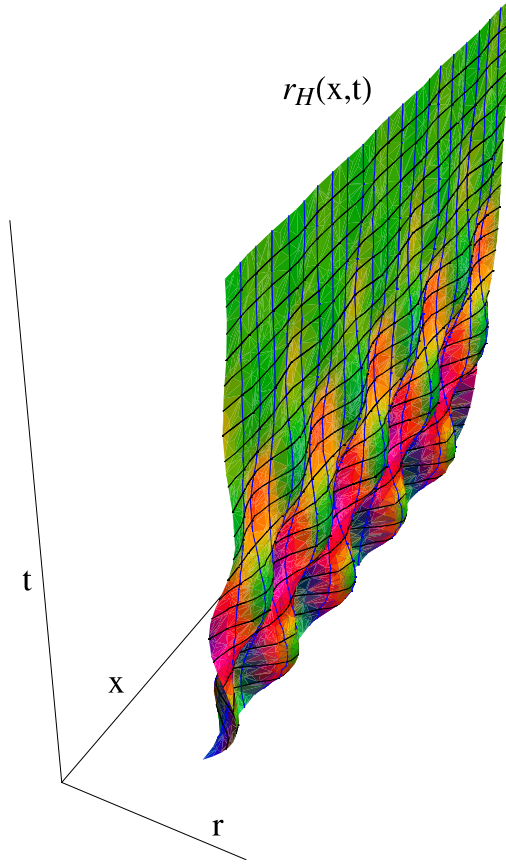
Fig. 2: The event horizon $r = r_H(x^\mu)$ sketched as a function of the time t and one of the spatial coordinates x (the other two spatial coordinates are suppressed).

(λ, α^a), with $a = 1, \cdots, d - 1$, on the event horizon such that α^a are constant along these null geodesics and λ is a future directed parameter (not necessarily affine) along the geodesics. As ∂_λ is orthogonal to every other tangent vector on the manifold including itself, it follows that the metric restricted on the event horizon takes the form

together with the fact that boundaries of causal sets are generated by null geodesics [41]. We pause here to note a technical point regarding the behaviour of the horizon generators: While by definition these null geodesics generating the event horizon have no future endpoints [42], they do not necessarily remain on the event horizon when extended into the past. This is because in general dynamical context, these geodesics will have non-zero expansion, and by Raychaudhuri's equation they must therefore caustic in finite affine parameter when extended into the past. Hence, although the spacetime, and therefore the event horizon, are smooth, the horizon generators enter the horizon at points of caustic. However, since the caustic locus forms a set of measure zero on the horizon, in the following discussion we will neglect this subtlety.

$$ds^2 = g_{ab}\, d\alpha^a\, d\alpha^b \tag{3.1}$$

Let g represent the determinant of the $(d-1) \times (d-1)$ metric g_{ab}. We define the entropy $(d-1)$-form as the appropriately normalized area form on the spatial sections of the horizon[14]

$$a = \frac{1}{4\, G_{d+1}}\, \sqrt{g}\, d\alpha^1 \wedge d\alpha^2 \wedge \ldots \wedge d\alpha^{d-1} \tag{3.2}$$

The area increase theorems of general relativity[15] are tantamount to the monotonicity of the function g, *i.e.*,

$$\frac{\partial g}{\partial \lambda} \geq 0 \tag{3.3}$$

which of course leads to

$$da = \frac{\partial_\lambda \sqrt{g}}{4\, G_{d+1}}\, d\lambda \wedge d\alpha^1 \wedge d\alpha^2 \ldots \wedge d\alpha^{d-1} \geq 0 \ . \tag{3.4}$$

We have chosen here an orientation on the horizon $\mathcal{H}$ by declaring a d-form to be positive if it is a positive multiple of the d-form $d\lambda \wedge d\alpha^1 \wedge d\alpha^2 \ldots \wedge d\alpha^{d-1}$.

3.2 Entropy $(d-1)$-form in global coordinates

The entropy $(d-1)$-form described above was presented in a special set of α^a coordinates which are well adapted to the horizon. We will now evaluate this expression in terms of a more general set of coordinates. Consider a set of coordinates x^μ for the spacetime in the neighbourhood of the event horizon, chosen so that surfaces of constant $x^0 = v$ intersect the horizon on spacelike slices Σ_v. The coordinates x^μ used in (2.2) provide an example of such a coordinate chart (as we will see these are valid over a much larger range than the neighbourhood of the horizon).

As surfaces of constant v are spacelike, the null geodesics that generate the event horizon each intersect any of these surfaces exactly once. Consequently, we may choose the coordinate v as a parameter along geodesics. Then we can label the geodesics by α^a, the value of x^a at which the geodesic in question intersects the surface $v = 0$. The coordinate system $\{v, \alpha^a\}$ is of the form described in § 3.1; as a result in these coordinates the entropy $(d-1)$-form is given by (3.2). We will now rewrite this expression in terms of the coordinates x^μ at $v = 0$; for this purpose we need the formulas for the change of

---

[14]This definition is consistent with the Noether charge derivation of entropy currents, *a la* Wald, *cf.*, [43] for a discussion for dynamical horizons. We review the connection with Wald's construction briefly in Appendix C.

[15]We assume here that the null energy condition is satisfied. This is true of the Lagrangian used in [33] to construct the gravitation background (2.2).

coordinates from x^μ to $\{v, \alpha^a\}$, in a neighbourhood of $v = 0$. It is easy to verify that

$$
\begin{aligned}
x^a &= \alpha^a + \frac{n^a}{n^v} v + \frac{v^2}{2\,n^v} n^\mu \, \partial_\mu \left(\frac{n^a}{n^v} \right) + \mathcal{O}(v^3) \cdots \\
dx^a &= d\alpha^a + v \, d\alpha^k \, \partial_k \left(\frac{n^a}{n^v} \right) + dv \left(\frac{n^a}{n^v} + \frac{v}{n^v} n^\mu \, \partial_\mu \left(\frac{n^a}{n^v} \right) \right) + \mathcal{O}(v^2)
\end{aligned}
\tag{3.5}
$$

The coordinate transformation (3.5) allows us to write an expression for the metric on the event horizon in terms of the coordinates $\{v, \alpha^a\}$, in a neighbourhood of $v = 0$. Let $H_{\mu\nu}\, dx^\mu\, dx^\nu = G_{MN}\, dx^M\, dx^N|_{\mathcal{H}}$ denote the metric restricted to the event horizon in the x^μ coordinates.

$$
\begin{aligned}
ds^2_{\mathcal{H}} &= H_{\mu\nu}(x)\, dx^\mu\, dx^\nu \equiv g_{ab}\, d\alpha^a\, d\alpha^b \\
&= h_{ij}\left(v, \alpha^i + \frac{n^i}{n^v} \right) \left(d\alpha^i + v\, d\alpha^k\, \partial_k \left(\frac{n^i}{n^v} \right) \right) \left(d\alpha^j + v\, d\alpha^k\, \partial_k \left(\frac{n^j}{n^v} \right) \right) + \mathcal{O}(v^2)
\end{aligned}
\tag{3.6}
$$

where $h_{ij}(v, x)$ is the restriction of the metric $H_{\mu\nu}$ onto a spatial slice Σ_v, which is a constant-v slice. Note that since the horizon is null, all terms with explicit factors of dv cancel from (3.6) in line with the general expectations presented in § 3.1. It follows that the determinant of the induced metric, $\sqrt{g}$ of (3.2), is given as

$$
\sqrt{g} = \sqrt{h} + \frac{v}{n^v} \left(n^i\, \partial_i \sqrt{h} + \sqrt{h}\, n^v\, \partial_i \frac{n^i}{n^v} \right) + \mathcal{O}(v^2) ,
\tag{3.7}
$$

where h is the determinant of the metric on Σ_v, in x^μ coordinates (restricted to $v = 0$).

We are now in a position to evaluate the area $(d-1)$-form

$$
a = \frac{\sqrt{h}}{4\, G_{d+1}}\, d\alpha^1 \wedge d\alpha^2 \ldots \wedge d\alpha^{d-1} ,
\tag{3.8}
$$

at $v = 0$. Clearly, for this purpose we can simply set to zero all terms in (3.5) with explicit powers of v, which implies that $d\alpha^a = dx^a - \frac{n^a}{n^v} dv$ and

$$
a = \frac{\sqrt{h}}{4\, G_{d+1}} \left(dx^1 \wedge dx^2 \ldots \wedge dx^{d-1} - \sum_{i=1}^{d-1} \frac{n^i}{n^v}\, d\lambda \wedge dx^1 \wedge \ldots \wedge dx^{i-1} \wedge dx^{i+1} \wedge \ldots \wedge dx^{d-1} \right)
\tag{3.9}
$$

From (3.9) we can infer that the area-form can be written in terms a current as

$$
a = \frac{\epsilon_{\mu_1 \mu_2 \ldots \mu_d}}{(d-1)!}\, J^{\mu_1}_S\, dx^{\mu_2} \wedge \ldots \wedge dx^{\mu_d}
\tag{3.10}
$$

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where J_S^μ is given by

$$J_S^\mu = \frac{\sqrt{h}}{4\,G_N^{(d+1)}} \frac{n^\mu}{n^v} \tag{3.11}$$

and our choice of orientation leads to $\epsilon_{v12\cdots(d-1)} = 1$. In Appendix C, we show that one can obtain this expression using the construction of an entropy $(d-1)$-form due to Wald, see (C.12). We can further establish that

$$da = \frac{1}{(d-1)!}\epsilon_{\mu_1\mu_2\ldots\mu_d}\,\partial_\alpha J_S^\alpha\,dx^{\mu_1} \wedge \ldots \wedge dx^{\mu_d} \tag{3.12}$$

so that da is simply the flat space Hodge dual of $\partial_\mu J_S^\mu$. While the appearance of the flat space Hodge dual might be puzzling at first sight, given the non-flat metric on $\mathcal{H}$, its origins will become clear once we recast this discussion in terms of the fluids dynamical variables.

3.3 Properties of the area-form and its dual current

Having derived the expression for the area-form we pause to record some properties which will play a role in interpreting J_S^μ as an entropy current in hydrodynamics.

Non-negative divergence: Firstly, we note that the positivity of da (argued for on general grounds in § 3.1) guarantees the positivity of $\partial_\mu J_S^\mu$; hence we have $\partial_\mu\,J_S^\mu \geq 0$. This in fact may be verified algebraically from (3.7), as

$$\frac{1}{4G_{d+1}}\partial_v(\sqrt{g}) = \partial_\mu J_S^\mu \ . \tag{3.13}$$

The positivity of $\partial_v(\sqrt{g})$ thus guarantees that of $\partial_\mu J_S^\mu$ as is expected on general grounds.

Lorentz invariance: The final result for our entropy current, (3.12), is invariant under Lorentz transformations of the coordinate x^μ (a physical requirement of the entropy current for relativistic fluids) even though this is not manifest. We now show that this is indeed the case.

Let us boost to coordinates $\hat{x}^\mu = \Lambda_\nu{}^\mu x^\nu$; denoting the horizon metric in the new coordinates by $\hat{h}_{\mu\nu}$ and the boosted normal vector by $\hat{n}^\mu$ we find

$$h_{ij} = A_i{}^m A_j{}^n \hat{h}_{mn}, \qquad A_i{}^m = \Lambda_i{}^m - \frac{\Lambda_i{}^v \hat{n}^m}{\hat{n}^v} \tag{3.14}$$

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(where we have used $\hat{n}^{\mu} \hat{h}_{\mu\nu} = 0$). It is not difficult to verify that

$$\det A = \frac{(\Lambda^{-1})_{\mu}^{v} n^{\mu}}{\hat{n}^{v}} = \frac{n^{v}}{\hat{n}^{v}}$$

from which it follows that $\frac{\sqrt{h}}{n^{v}} = \frac{\sqrt{\hat{h}}}{\hat{n}^{v}}$, thereby proving that our area-form defined on the a spatial section of the horizon is indeed Lorentz invariant.

4   The Horizon to Boundary Map

4.1   Classification of ingoing null geodesics near the boundary

Our discussion thus far has been an analysis of the causal structure of the spacetime described by the metric in (2.2) and the construction of an area-form on spatial sections of the horizon in generic spacetimes. As we are interested in transporting information about the entropy from the horizon to the boundary (where the fluid lives), we need to define a map between the boundary and the horizon. The obvious choice is to map the point on the boundary with coordinates x^{μ} to the point on the horizon with coordinates $(r_H(x), x^{\mu})$. More geometrically, this corresponds to moving along the geodesics $x^{\mu} =$ constant. However, congruences of null geodesics shot inwards from the boundary of AdS are far from unique. Hence, we digress briefly to present a characterization of the most general such congruence. In § 4.2 we will then see how the congruence of geodesics with constant x^{μ} fits into this general classification.

We will find it simplest to use Fefferman-Graham coordinates to illustrate our point. Recall that any asymptotically AdS_{d+1} spacetime may be put in the form

$$ds^2 = \frac{du^2 + \left(\eta_{\mu\nu} + u^d \, \phi_{\mu\nu}(w)\right) dw^{\mu} \, dw^{\nu}}{u^2} \, , \tag{4.1}$$

in the neighbourhood of the boundary. The collection of null geodesics that intersect the boundary point $(w^{\mu}, u = 0)$ are given by the equations

$$\frac{dw^A}{d\lambda} = u^2 \, \left(t^A + \mathcal{O}\left(u^d\right)\right) \tag{4.2}$$

where A runs over the $d+1$ variables $\{u, w^{\mu}\}$ and the null tangent vector must obey $t^A \, t_A = 0$. It is always possible to re-scale the affine parameter to set $t^u = 1$; making this choice, our geodesics are labelled by a d-vector t^{μ} satisfying $\eta_{\mu\nu} \, t^{\mu} \, t^{\nu} = -1$. With these conventions t^{μ} may be regarded as a d-velocity. In summary, the set of ingoing null geodesics that emanate from any given boundary point are parameterized by the $d-1$ directions in

which they can go – this parameterization is conveniently encapsulated in terms of a unit normalized timelike d-vector t^μ which may, of course, be chosen as an arbitrary function of x^μ. Consequently, congruences of ingoing null geodesics are parameterized by an arbitrary d-velocity field, $t^\mu(x)$ on the boundary of AdS.

4.2 Our choice of $t^\mu(x)$

It is now natural to ask what $t^\mu(x)$ is for the congruence defined by $x^\mu = const$ in the coordinates of [33]. The answer to this question is easy to work out, and turns out to be satisfyingly simple: for this choice of congruence, $t^\mu(x) = u^\mu(x)$ where $u^\mu(x)$ is the velocity field of fluid dynamics![16]

While metrics dual to fluid dynamics are automatically equipped with a velocity field, it is in fact also possible to associate a velocity field with a much larger class of asymptotically AdS spacetimes. Recall that any such spacetime has a boundary stress tensor $T_{\mu\nu}$.[17] For most such spacetimes there is a natural velocity field associated with this stress tensor; the velocity $u^\mu(x)$ to which one has to boost in order that T^{0i} vanish at the point x. More invariantly, $u^\mu(x)$ is chosen to be the unique timelike eigenvector of the matrix $T^\mu_{\ \nu}(x)$.[18] That is, we choose $u^\mu(x)$ to satisfy

$$\left(\eta_{\mu\nu} + u_\mu\, u_\nu\right) T^{\nu\kappa}\, u_\kappa = 0 \tag{4.4}$$

This definition of $u^\mu(x)$ coincides precisely with the velocity field in [33] (this is the so-called Landau frame). The null congruence given by $t^\mu(x) = u^\mu(x)$ is now well defined for an arbitrary asymptotically AdS spacetime, and reduces to the congruence described earlier in this section for the metrics dual to fluid dynamics.

---

[16] In order to see this note that

$$
\begin{aligned}
u_\mu \frac{dx^\mu}{d\lambda} &= u_\mu \frac{dw^\mu}{d\lambda} + \frac{du}{d\lambda} \\
P_{\nu\mu} \frac{dx^\mu}{d\lambda} &= \mathcal{P}_{\nu\mu} \frac{dw^\mu}{d\lambda}
\end{aligned}
\tag{4.3}
$$

whereas indicated quantities on the LHS of (4.3) refer to the coordinate system of [33], the quantities on the RHS refer to the Fefferman-Graham coordinates (4.1). It follows from these formulae that the geodesic with $t^A = (1, u^\mu)$ maps to the null geodesic $\frac{dx^\mu}{d\lambda} = 0$ in the coordinates used to write (2.2).

[17] In a general coordinate system the stress tensor is proportional to the extrinsic curvature of the boundary slice minus local counter-term subtractions. In the Fefferman-Graham coordinate system described above, the final answer is especially simple; $T_{\mu\nu} \propto \phi_{\mu\nu}(x^\mu)$.

[18] This prescription breaks down when u^μ goes null - *i.e.*, if there exist points at which the energy moves at the speed of light.

4.3 Local nature of the event horizon

As we have seen in § 2 above, the event horizon is effectively local for the metrics dual to fluid dynamics such as (2.2). In particular, the position of the event horizon $r_H(x^\mu)$ depends only on the values and derivatives of the fluid dynamical variables in a neighbourhood of x^μ and not elsewhere in spacetime. Given the generic teleological behaviour of event horizons (which requires knowledge of the entire future evolution of the spacetime), this feature of our event horizons is rather unusual. To shed light on this issue, we supply an intuitive explanation for this phenomenon, postponing the actual evaluation of the function $r_H(x^\mu)$ to § 5.1.

The main idea behind our intuitive explanation may be stated rather simply. As we have explained above, the metric of [33] is tube-wise well approximated by tubes of the metric of a uniform black brane at constant velocity and temperature. Now consider a uniform black brane whose parameters are chosen as $u_\mu = (-1, 0, 0, 0)$ and $b = 1/(\pi T) = 1$ by a choice of coordinates. In this metric a radial outgoing null geodesic that starts at $r = 1 + \delta$ (with $\delta \gg \epsilon$) and $v = 0$ hits the boundary at a time $\delta v = \int \frac{dr}{r^2 f(r)} \approx -4 \ln \delta$. Provided this radial outgoing geodesic well approximates the path of a geodesic in the metric of [33] throughout its trajectory, it follows that the starting point of this geodesic lies outside the event horizon of the spacetime.

The two conditions for the approximation described above to be valid are:

1. That geodesic in question lies within the tube in which the metric of [33] is well approximated by a black brane with constant parameters throughout its trajectory. This is valid when $\delta v \approx -4 \ln \delta \ll 1/\epsilon$.

2. That even within this tube, the small corrections to the metric of [33] do not lead to large deviations in the geodesic. Recall that the radial geodesic in the metric of [33] is given by the equation

$$\frac{dv}{dr} = -\frac{G_{rv} + \mathcal{O}(\epsilon)}{G_{vv} + \mathcal{O}(\epsilon)} = \frac{2 + \mathcal{O}(\epsilon)}{f(r) + \mathcal{O}(\epsilon)}.$$

   This geodesic well approximates that of the uniform black brane provided the $\mathcal{O}(\epsilon)$ corrections above are negligible, a condition that is met provided $f(r) \gg \epsilon$, *i.e.*, when $|r - 1| = \delta \gg \epsilon$.

Restoring units we conclude that a point at $r = \frac{1}{b}(1 + \delta)$ necessarily lies outside the event horizon provided $\delta \gg \epsilon$ (this automatically ensures $\delta v \approx -4 \ln \delta \ll 1/\epsilon$. when ϵ is small).

In a similar fashion it is easy to convince oneself that all geodesics that are emitted from $r = \frac{1}{b}(1 - \delta)$ hit the singularity within the regime of validity of the tube approximation

provided $\delta \gg \epsilon$. Such a point therefore lies inside the event horizon. It follows that the event horizon in the solutions of [33] is given by the hypersurface $r = \pi T (1 + \mathcal{O}(\epsilon))$.

5 Specializing to Dual Fluid Dynamics

We will now proceed to determine the precise form of the event horizon manifold to second order in ϵ using the results obtained in § 2. This will be useful to construct the entropy current in the fluid dynamics utilizing the map derived in § 4.

5.1 The local event horizon dual to fluid dynamics

The metric dual to fluid flows given in [33] takes the form (2.2) with explicitly determined forms of the functions in that metric (see Appendix A). We list the properties and values of these functions that we will need below:[19]

$$
\begin{aligned}
&f(1) = 0, \qquad s_a^{(1)} = 0, \qquad s_c^{(1)} = 0, \\
&s_b^{(1)} = \frac{2}{3} \frac{1}{b} \partial_\mu u^\mu, \qquad \partial_r s_b^{(1)} = \frac{2}{3} \partial_\mu u^\mu, \\
&j_\mu^{(1)} = -\frac{1}{b} u^\nu \partial_\nu u_\mu, \qquad t_{\mu\nu}^{(1)} = \frac{1}{b} \left(\frac{3}{2} \ln 2 + \frac{\pi}{4} \right) \sigma_{\mu\nu} \equiv F \sigma_{\mu\nu} \\
&s_a^{(2)} = \frac{3}{2} s_c^{(2)} = \frac{b^2}{16} \left(2\,\mathfrak{S}_4 - \mathfrak{S}_5 \left(2 + 12\mathcal{C} + \pi + \pi^2 - 9\,(\ln 2)^2 - 3\pi\,\ln 2 + 4\,\ln 2 \right) \right) \\
&s_b^{(2)} = -\frac{2}{3}\,\mathbf{s}_3 + \mathfrak{S}_1 - \frac{1}{9}\,\mathfrak{S}_3 - \frac{1}{12}\,\mathfrak{S}_4 + \mathfrak{S}_5 \left(\frac{1}{6} + \mathcal{C} + \frac{\pi}{6} + \frac{5\,\pi^2}{48} + \frac{2}{3}\,\ln 2 \right) \\
&j_\mu^{(2)} = \frac{1}{16}\mathbf{B}^\infty - \frac{1}{144}\mathbf{B}^{\text{fin}}
\end{aligned} \tag{5.1}
$$

where $\mathcal{C}$ is the Catalan number. We encounter here various functions (of the boundary coordinates) which are essentially built out the fluid velocity u^μ and its derivatives. These have been abbreviated to symbols such as $\mathbf{s}_3$, $\mathfrak{S}_1$, *etc.*, and are defined (A.3). Likewise $\mathbf{B}^\infty$ and $\mathbf{B}^{\text{fin}}$ are defined in (A.12).

Using the equation for the conservation of stress tensor $(\partial_\mu T^{\mu\nu} = 0)$ up to second order in derivatives one can simplify the expression for r_H (2.16). Conservation of stress tensor gives

$$
\partial_\nu \left[\frac{1}{b^4} \left(\eta^{\mu\nu} + 4u^\mu u^\nu \right) \right] = \partial_\nu \left[\frac{2}{b^3} \sigma^{\mu\nu} \right] \tag{5.2}
$$

Projection of (5.2) into the co-moving and transverse directions, achieved by contracting

---

it with u_μ and $P_{\mu\nu}$ respectively, we find

$$s_b^{(1)} - \frac{2}{b^2} u^\mu \, \partial_\mu b = \frac{1}{3} \, \sigma_{\mu\nu} \, \sigma^{\mu\nu} = \mathcal{O}\left(\epsilon^2\right)$$

$$P^{\mu\nu} \left(b^2 \, j_\mu^{(1)} + \partial_\mu b\right) = -\frac{b^2}{2} \, P_\mu{}^\nu \left(\partial_\alpha \sigma^{\alpha\mu} - 3\, \sigma^{\mu\alpha} \, u^\beta \, \partial_\beta u_\alpha\right) + \mathcal{O}\left(\epsilon^3\right) \qquad (5.3)$$

Inserting (5.3) into (2.14) we see that $r_{(1)}$ of (2.14) simply vanishes for the spacetime dual to fluid dynamics, and so, to first order in ϵ, $r_H^{(1)} = \frac{1}{b}$. At next order this formula is corrected to

$$r_H^{(2)} = \frac{1}{b(x)} + r_{(2)}(x) = \frac{1}{b} + \frac{b}{4} \left(s_b^{(2)} + \frac{1}{3} \, \sigma_{\mu\nu} \, \sigma^{\mu\nu}\right) \qquad (5.4)$$

In order to get this result we have substituted into (2.16) the first of (5.3), utilized the fact that $r_{(1)} = 0$ and the observation (from the second line of (5.3)) that

$$P^{\mu\nu} \left(b^2 j_\mu^{(1)} + \partial_\mu b\right) \left(b^2 j_\nu^{(1)} + \partial_\nu b\right) = \mathcal{O}(\epsilon^4)$$

In this special case the components of normal vector in the boundary directions (2.18) (accurate to $\mathcal{O}\left(\epsilon^2\right)$) are given by

$$n^\mu = \left(1 + s_a^{(2)}\right) u^\mu - \frac{b^2}{2} \, P^{\mu\nu} \left(\partial^\alpha \sigma_{\alpha\nu} - 3\, \sigma_{\nu\alpha} \, u^\beta \, \partial_\beta u^\alpha\right) + b^2 \, P^{\mu\nu} \, j_\nu^{(2)} \, . \qquad (5.5)$$

5.2 Entropy current for fluid dynamics

We will now specialize the discussion of § 3.2 to the metric of [33], using the formulae derived in § 5.1. In the special case of the metric of [33] we have

$$\sqrt{g} = \frac{1}{b^3} \left(1 - \frac{b^4}{4} \, F^2 \, \sigma_{\mu\nu} \, \sigma^{\mu\nu} + 3\, b \, r_{(2)} + s_a^{(2)}\right)$$

$$= \frac{1}{b^3} \left(1 - \frac{b^4}{4} F^2 \, \sigma_{\mu\nu} \, \sigma^{\mu\nu} + \frac{b^2}{4} \sigma_{\mu\nu} \, \sigma^{\mu\nu} + \frac{3\, b^2}{4} \, s_b^{(2)} + s_a^{(2)}\right) \, , \qquad (5.6)$$

where the various quantities are defined in (5.1). We conclude from (3.11) that

$$4\, G_N^{(5)} \, b^3 \, J_S^\mu = u^\mu \left(1 - \frac{b^4}{4} \, F^2 \, \sigma_{\alpha\beta} \, \sigma^{\alpha\beta} + \frac{b^2}{4} \sigma_{\alpha\beta} \, \sigma^{\alpha\beta} + \frac{3\, b^2}{4} \, s_b^{(2)} + s_a^{(2)}\right)$$

$$+ b^2 \, P^{\mu\nu} \left[-\frac{1}{2} \left(\partial^\alpha \sigma_{\alpha\nu} - 3\, \sigma_{\nu\alpha} \, u^\beta \, \partial_\beta u^\alpha\right) + j_\nu^{(2)}\right] \, . \qquad (5.7)$$

This is the expression for the fluid dynamical entropy current which we derive from the gravitational dual.

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6 Divergence of the Entropy Current

In previous sections, we have presented a gravitational construction of an entropy current which, we have argued, is guaranteed to have non-negative divergence at each point. We have also presented an explicit construction of the entropy current to order ϵ^2 in the derivative expansion. In this section we directly compute the divergence of our entropy current and verify its positivity. We will find it useful to first start with an abstract analysis of the most general Weyl invariant entropy current in fluid dynamics and compute its divergence, before specializing to the entropy current constructed above.

6.1 The most general Weyl covariant entropy current and its divergence

The entropy current in d-dimensions has to be a Weyl covariant vector of weight d. We will work in four dimensions $(d = 4)$ in this section, and so will consider currents that are Weyl covariant vector of weight 4. Using the equations of motion, it may be shown that there exists a 7 dimensional family of two derivative weight 4 Weyl covariant vectors that have the correct equilibrium limit for an entropy current. In the notation of [40], (reviewed in Appendix B), this family may be parameterized as

$$
\begin{aligned}
(4\pi\,\eta)^{-1}\,J_S^\mu = 4\,G_N^{(5)}\,b^3\,J_S^\mu &= \left[1 + b^2\left(A_1\,\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} + A_2\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + A_3\,\mathcal{R}\right)\right]u^\mu \\
&\quad + b^2\left[B_1\,\mathcal{D}_\lambda\sigma^{\mu\lambda} + B_2\,\mathcal{D}_\lambda\omega^{\mu\lambda}\right] \\
&\quad + C_1\,b\,\ell^\mu + C_2\,b^2 u^\lambda\,\mathcal{D}_\lambda\ell^\mu + \dots
\end{aligned}
\tag{6.1}
$$

where $b = (\pi T)^{-1}$, $\eta = (16\pi\,G_N^{(5)}\,b^3)^{-1}$ and the rest of the notation is as in [40] (see also Appendix A and Appendix B).

In Appendix B we have computed the divergence of this entropy current (using the third order equations of motion derived and expressed in Weyl covariant language in [40]). Our final result is

$$
\begin{aligned}
4\,G_N^{(5)}\,b^3\,\mathcal{D}_\mu J_S^\mu = \frac{b}{2}\Bigg[&\sigma_{\mu\nu} + b\left(2\,A_1 + 4\,A_3 - \frac{1}{2} + \frac{1}{4}\,\ln 2\right)u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu} + 4\,b\,(A_2 + A_3)\,\omega^{\mu\alpha}\omega_\alpha{}^\nu \\
&+ b\,(4\,A_3 - \frac{1}{2})(\sigma^{\mu\alpha}\,\sigma_\alpha{}^\nu) + b\,C_2\,\mathcal{D}^\mu\ell^\nu\Bigg]^2 \\
&+ (B_1 - 2A_3)\,b^2\,\mathcal{D}_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + (C_1 + C_2)\,b^2\,\ell_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + \dots
\end{aligned}
\tag{6.2}
$$

Note that the leading order contribution to the divergence of the arbitrary entropy current is proportional to $\sigma_{\mu\nu}\,\sigma^{\mu\nu}$. This term is of second order in the derivative expansion, and is manifestly non-negative. In addition the divergence has several terms at third order

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in the derivative expansion.

Within the derivative expansion the second order piece dominates all third order terms whenever it is nonzero. However it is perfectly possible for $\sigma_{\mu\nu}$ to vanish at a point – $\sigma_{\mu\nu}$ are simply 5 of several independent Taylor coefficients in the expansion of the velocity field at a point (see Appendix D for details). When that happens the third order terms are the leading contributions to $\mathcal{D}_\mu J_S^\mu$. Since such terms are cubic in derivatives they are odd orientation reversal $(x^\mu \to -x^\mu)$, and so can be non-negative for all velocity configurations only if they vanish identically. We conclude that positivity requires that the RHS of (6.2) vanish upon setting $\sigma_{\mu\nu}$ to zero.

As is apparent, all terms on the first two lines of (6.2) are explicitly proportional to $\sigma_{\mu\nu}$. The two independent expressions on the third line of that equation are in general nonzero even when $\sigma_{\mu\nu}$ vanishes. As a result $\mathcal{D}_\mu J_S^\mu \geq 0$ requires that the second line of (6.2) vanish identically; hence, we obtain the following constraints on coefficients of the second order terms in the entropy current

$$B_1 = 2\,A_3 \qquad\qquad C_1 + C_2 = 0 \qquad\qquad (6.3)$$

for a non-negative divergence entropy current.

These two conditions single out a 5 dimensional submanifold of non-negative divergence entropy currents in the 7 dimensional space (6.1) of candidate Weyl covariant entropy currents.

Since a local notion of entropy is an emergent thermodynamical construction (rather than a first principles microscopic construct), it seems reasonable that there exist some ambiguity in the definition of a local entropy current. We do not know, however, whether this physical ambiguity is large enough to account for the full 5 parameter non uniqueness described above, or whether a physical principle singles out a smaller sub family of this five dimensional space as special. Below we will see that our gravitational current - which is special in some respects - may be generalized to a two dimensional sub family in the space of positive divergence currents.

6.2   Positivity of divergence of the gravitational entropy current

It may be checked (see Appendix B) that our entropy current (5.7) may be rewritten in the form (6.1) with the coefficients

$$A_1 = \frac{1}{4} + \frac{\pi}{16} + \frac{\ln 2}{4}; \qquad A_2 = -\frac{1}{8}; \qquad A_3 = \frac{1}{8}$$
$$B_1 = \frac{1}{4}; \qquad B_2 = \frac{1}{2} \qquad\qquad (6.4)$$
$$C_1 = C_2 = 0$$

It is apparent that the coefficients listed in (6.4) obey the constraints of positivity (6.3). This gives a direct algebraic check of the positivity of the divergence of (5.7).

The fact that it is possible to write the current (5.7) in the form (6.1) also demonstrates the Weyl covariance of our current (5.7).

6.3   A two parameter class of gravitational entropy currents

As we have seen above, there exists a five parameter set of non-negative divergence conformally covariant entropy currents that have the correct equilibrium limit. An example of such a current was first constructed in [40].

Now let us turn to an analysis of possible generalizations of the gravitational entropy current presented in this paper. Our construction admits two qualitatively distinct, reasonable sounding, generalizations that we now discuss.

Recall that we constructed our entropy $(d-1)$-form via the pullback of the area-form on the event horizon. While the area-form is a very natural object, all its physically important properties (most importantly the positivity of divergence) appear to be retained if we add to it the exterior derivative of a $(d-2)$-form. This corresponds to the addition of the exterior derivative of a $(d-2)$-form to the entropy current J_S^μ. Imposing the additional requirement of Weyl invariance at the two derivative level this appears to give us the freedom to add a multiple of $\frac{1}{b}\mathcal{D}_\lambda \omega^{\lambda\sigma}$ to the entropy current in four dimensions.

In addition, we have the freedom to modify our boundary to horizon map in certain ways; our construction of the entropy current (5.7) depends on this map and we have made the specific choice described in § 4. Apart from geometrical naturalness and other aesthetic features, our choice had two important properties. First, under this map $r_H(x^\mu)$ (and hence the local entropy current) was a local function of the fluid dynamical variables at x^μ. Second, our map was Weyl covariant; in particular, the entropy current obtained via this map was automatically Weyl covariant. We will now parameterize all boundary to horizon maps (at appropriate order in the derivative expansion) that preserve these two desirable properties.

Any one to one boundary to horizon map may be thought of as a boundary to boundary diffeomorphism compounded with the map presented in § 4. In order to preserve the locality of the entropy current, this diffeomorphism must be small (*i.e.*, of sub-leading order in the derivative expansion). At the order of interest, it turns out to be sufficient to study diffeomorphisms parameterized by a vector $\delta\zeta$ that is of at most first order in the derivative expansion. In order that our entropy current have acceptable Weyl transformation properties under this map, $\delta\zeta$ must be Weyl invariant. Up to terms that vanish by the equations of motion, this singles out a two parameter set of acceptable choices for $\delta\zeta$;

$$\delta\zeta^\mu = 2\ \delta\lambda_1\ b\ u^\mu + \delta\lambda_2\ b^2\ \ell^\mu \tag{6.5}$$

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To leading order the difference between the $(d-1)$-forms obtained by pulling the area $(d-1)$-form a back under the two different maps is given by the Lie derivative of the pull-back s of a

$$\delta s = \mathcal{L}_{\delta\zeta}\, s = d(\delta\zeta_\mu\, s^\mu) + \delta\zeta_\mu\, (ds)^\mu.$$

Taking the boundary Hodge dual of this difference we find

$$\begin{aligned}
\delta J_S^\mu &= \mathcal{L}_{\delta\zeta} J_S^\mu - J_S^\nu\, \nabla_\nu \delta\zeta^\mu \\
&= \mathcal{D}_\nu \left[J_S^\mu\, \delta\zeta^\nu - J_S^\nu\, \delta\zeta^\mu \right] + \delta\zeta^\mu\, \mathcal{D}_\nu J_S^\nu
\end{aligned} \tag{6.6}$$

Similarly

$$\begin{aligned}
\delta \partial_\mu J_s^\mu &= \delta\zeta^\mu\, \partial_\mu \partial_\nu J_s^\nu + \partial_\mu \delta\zeta^\mu\, \partial_\nu J_s^\nu = \mathcal{L}_{\delta\zeta}\, \partial_\mu J_s^\mu + \partial_\mu \delta\zeta^\mu\, \partial_\nu J_s^\nu \\
&= \mathcal{L}_{\delta\zeta}\, \mathcal{D}_\mu J_s^\mu + \mathcal{D}_\mu \delta\zeta^\mu\, \mathcal{D}_\nu J_s^\nu
\end{aligned} \tag{6.7}$$

Using the fluid equations of motion it turns that the RHS of (6.6) is of order ϵ^3 (and so zero to the order retained in this paper) for $\zeta^\mu \propto b^2\, l^\mu$. Consequently, to second order we find a one parameter generalization of the entropy current – resulting from the diffeomorphisms (6.5) with $\delta\lambda_2$ set to zero.

Note that, apart from the diffeomorphism shift, the local rate of entropy production changes in magnitude (but not in sign) under redefinition (6.6) by a factor proportional to the Jacobian of the coordinate transformation parameterized by $\delta\zeta$. In Appendix B we have explicitly computed the shift in the current (5.7) under the operation described in (6.6) (with $\delta\zeta$ of the form (6.5)) and also explicitly verified the invariance of the positivity of divergence under this map.

In summary we have constructed a two parameter generalization of our gravitational entropy current (5.7). One of these two parameters arose from the freedom to add an exact form to the area form on the horizon. The second parameter had its origin in the freedom to generalize the boundary to horizon map.

7   Discussion

We have demonstrated that any singularities in the metrics of [33], dual to fluid dynamics, are shielded behind a regular event horizon (we expect the same to be true for the solution of [37]). Further, we have shown that the structure of this event horizon is determined locally by the variables of fluid dynamics, and have presented an explicit expression for the location of the event horizon to second order in the ϵ (boundary derivative) expansion. Remarkably, the event horizon, which is a global concept in general relativity, turned out to be rather simple to locate, partly due to our choice of particularly useful coordinate system

(2.2), and more importantly due to the long-wavelength requirement that our solution be dual to a system described by fluid dynamics. We emphasize that within the boundary derivative expansion of this paper we are directly able to construct the event horizon; we did not need to discuss other more local constructs like the apparent horizon as an intermediate step towards understanding the global structure of our solutions.

We have also constructed an entropy $(d-1)$-form on the event horizon of an arbitrary $d+1$ dimensional spacetime and used the pullback of this form to the boundary to construct a manifestly non-negative divergence entropy current for asymptotically AdS_{d+1} solutions of gravity with a horizon. We have derived an explicit expression for this entropy current for the solutions dual to [33] and demonstrated a direct algebraic check of the positivity of divergence of this current within fluid dynamics.

In order to lift the entropy $(d-1)$-form from the horizon to the boundary, we used a natural map between the horizon and the boundary, given by ingoing null geodesics which emanate from the boundary in the direction of the fluid flow. These ingoing geodesics in fact determine the coordinate system of [33] (they constitute lines of constant x^μ for the metric (2.2)).

We also directly studied a seven parameter family of weight four Weyl covariant fluid dynamical vectors that have the appropriate equilibrium limit to be an entropy current and demonstrated that a 5 parameter subclass of this family of currents has non negative divergence to second order in the derivative expansion. The entropy currents we constructed via a pullback of the area form constitute a special subclass of these currents. It is natural to inquire what the gravitational interpretation of the remaining currents is.[20] It is natural to wonder whether they are assosicated with apparerent horizons[21] and other quasi-local horizons (such as trapping/dynamical horizons, isolated horizons, *e.g.*,[44, 45]). At least several of these horizons also appear to obey versions of the area increase theorem. Consequently, it should be possible to obtain conserved entropy currents via the pullback of a suitably defined area form on these horizons. Apparent and other dynamical horizons have one initially unpalatable feature; their structure depends on a choice of the slicing of spacetime into spacelike surfaces. However perhaps it is precisely this ambiguity that allows these constructions to cover the full 5 parameter set of non negative entropy currents discussed above?[22] Note that in the context of dynamical horizons, [46] obtain[23] a characterization of a membrane fluid obeying non-relativistic hydrodynamics equations with a uniquely specified entropy. Their system has rather different characteristics (absence of

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[20]We thank M. Van Raamsdonk for raising this question.

[21]We thank A. Strominger for stressing the physical relevance of apparent horizons to our situation, and for a very useful related discussion.

[22]A cautionary note is in order; it is possible that a subclass of the 5 parameter non negative divergence entropy currents is an artifact of the derivative expansion, and has no continuation to finite ϵ. We thank M. Van Raamsdonk for discussions on this point.

[23]We thank I. Booth for bringing this reference to our attention.

shear-viscositly for instance) and appears to model the black hole as a fluid, rather than construct an explicit dual as in the current discussion. It would interesting to understand this connection better.

We re-emphasize that our results demonstrate that each of the solutions of [33] (with regular fluid data) has its singularities hidden from the boundary by a regular event horizon. Consequently all gravitational solutions dual to regular solutions of fluid dynamics obey the cosmic censorship conjecture. It would be interesting to investigate how our results generalize to irregular (*e.g.*, turbulent) solutions of fluid dynamics, as also to gravitational solutions beyond the long wavelength expansion. As we have explained in the Introduction, several such solutions are dual descriptions of the field theoretic approach towards local equilibrium. The appearance of a naked singularity in this approach would appear to imply singularities of real time correlation functions in this process. It would be fascinating to study this connection in more detail. On a more speculative, or perhaps more ambitious note, it is natural to inquire what (if any) feature of field theoretic correlators would be sensitive to the apparently crazy nature of near singularity dynamics even when the latter is cloaked by a horizon.

Another interesting direction concerns α' corrections to the bulk solutions, which in the language of the dual field theory correspond to finite 't Hooft coupling effects. In the bulk, there is a well developed formalism due to Wald [43, 47] which provides a generalization of the Bekenstein-Hawking area formula for the entropy of the black hole to higher deriva-tive gravity. The main idea is to construct the entropy of (asymptotically flat) solutions using a variational principle of the Lagrangian; essentially, from the variational principle one obtains the first law of thermodynamics, which is used to construct the entropy as a Noether charge. This construction of the Noether charge entropy is conceptually similar to the area-form we present and in fact reduces to it in the two derivative limit. It might be possible – and would be very interesting – to generalize the discussion presented in this paper to be able to account for α' corrections (see Appendix C). The key issue here would be to find an analogue of the area increase theorem for α' corrected gravity. This is complicated from a pure general relativity standpoint, owing to the fact that higher derivative theories generically violate the energy conditions.[24] The AdS/CFT correspon-dence seems to require that such a generalization exist, and it would be very interesting to determine it. It is possible that the requirement of the existence of such a theorem provides 'thermodynamical' constraints to α' corrections of the low energy equations of gravity.[25]

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[24]In the context of supersymmetric solutions in α' corrected gravity, as discussed in [48] for the so called small black holes, while the entropy remains proportional to the area and specific classes of solutions satisfy the averaged null energy condition [49]; one still is unable to show the desired monotonicity property of entropy.

[25]In [50] the authors demonstrate the second law for the Einstein Hilbert action deformed by an R^2 term; this Lagrangian however is not likely to arise as the low energy effective action from string theory [51]. See also [52, 53] for recent discussions of constraints on parameters appearing in higher derivative

If the gravity/fluid dynamics correspondence could be understood in more detail for confining gauge theories (see *e.g.*, [54, 55]), fluid dynamics could give us a handle on very interesting horizon dynamics. For instance, one might hope to explore the possibility of topological transitions of the event horizon.[26]

Turning to more straightforward issues, it would be interesting to generalize the discussion of this paper to encompass the study of field theory arbitrary curved manifolds. It would also be interesting to generalize our analysis to the bulk dual of charged fluid flows, and especially to the flows of extremal charged fluids. This could permit more direct contact with the entropy functional formalism for extremal black holes. A natural framework for such analysis, specifically in relation to the horizon dynamics studied here, is provided by the near-horizon metrics for degenerate horizons discussed in [56]. Furthermore, one might hope that such a study could have bearing on studying the behaviour of superfluids.

Finally, note that while field theoretic conserved currents are most naturally evaluated at the boundary of AdS, the entropy current most naturally lives on the horizon. This is probably related to the fact that while field theoretic conserved currents are microscopically defined, the notion of a local entropy is an emergent long distance concept, and so naturally lives in the deep IR region of geometry, which, by the UV/IR map, is precisely the event horizon. Correspondingly, we find it fascinating that, in the limits studied in this paper, the shape of the event horizon is a local reflection of fluid variables. This result is reminiscent of the membrane paradigm of black hole physics. It would be fascinating to flesh out this observation, and perhaps to generalize it.

theories in connection to the dual hydrodynamic description.

[26]While Cosmic Censorship precludes splitting of black holes, they can easily merge without the curvatures becoming large.

A Notation

We work in the mostly positive, $(-++\ldots)$, signature. The dimensions of the spacetime in which the conformal fluid lives is denoted by d. In the context of AdS/CFT, the dual AdS_{d+1} space has $d+1$ spacetime dimensions. The event horizon is a d-dimensional null manifold $\mathcal{H}$. $\mathcal{H}$ is foliated by $d-1$ dimensional constant v spatial slices denoted by Σ_v. The induced metric on Σ_v is denoted by h_{ab} (and h denotes its determinant).

Latin alphabets $A, B, \ldots$ are used to denote the $d+1$ dimensional bulk indices which range over $\{r, 0, 1, \ldots, d-1\}$. Lower Greek letters $\mu, \nu, \ldots$ indices range over $\{0, 1, \ldots, d-1\}$ and lower case Latin letters $a, b, \ldots$ indices range over $\{1, \ldots, d-1\}$. The co-ordinates in the bulk are denoted by X^A which is often split into a radial co-ordinate r and x^μ. We will often split x^μ into v and x^a.

In these co-ordinates, the equation for the horizon takes the form $\mathcal{S}_\mathcal{H} \equiv r - r_H(x) = 0$. We can choose to eliminate the co-ordinate r in favour of x^μ's via this equation. Then, in the x^μ co-ordinates the components of the metric are denoted by $H_{\mu\nu}$. In addition, we find it convenient to use a co-ordinate system α^a, λ on $\mathcal{H}$ – in these co-ordinates, the components of the induced metric on the horizon take the special form $g_{\lambda\lambda} = g_{a\lambda} = 0$ and $g_{ab} \neq 0$.

Our convention for the Riemann curvature tensor is fixed by the relation

$$[\nabla_\mu, \nabla_\nu] V^\lambda = R_{\mu\nu\sigma}{}^\lambda V^\sigma. \tag{A.1}$$

In Table 1, we list the physical meaning and the definitions of various quantities used in the text, referring to the equations defining them where appropriate:

A.1 Fluid dynamical parameters

Various expressions in the text and are built out of the fluid velocity; we list them here for convenience. The basic building blocks are the derivatives of the fluid velocity, decomposed into appropriate representations based on their symmetries. We have (see the table above for the physical meaning of these parameters),

$$
\begin{aligned}
\vartheta &= \partial_\mu u^\mu \\
a^\nu &= u^\mu\, \partial_\mu u^\nu \\
\sigma^{\mu\nu} &= \frac{1}{2}\left(P^{\lambda\mu}\partial_\lambda u^\nu + P^{\lambda\nu}\partial_\lambda u^\mu\right) - \frac{1}{3}\, P^{\mu\nu}\, \partial_\lambda u^\lambda \\
\omega^{\mu\nu} &= \frac{1}{2}P^{\mu\alpha}\, P^{\nu\beta}\, \left(\partial_\alpha u_\beta - \partial_\beta u_\alpha\right) \\
\ell^\mu &= \epsilon^{\alpha\beta\nu\mu}\omega_{\alpha\beta}u_\nu
\end{aligned}
\tag{A.2}
$$

Symbol	Definition	Symbol	Definition
d	dimensions of boundary	$\mathcal{H}$	The event horizon (d-dimensional)
X^A	Bulk co-ordinates	x^μ	Boundary co-ordinates
Σ_v	A spatial slice of $\mathcal{H}$	λ, α^a	Co-ordinates on $\mathcal{H}$
G_{AB}	Bulk metric,(2.2)	$\eta_{\mu\nu}$	Boundary metric (Minkowski)
h_{ab}	Induced metric on Σ_v	g_{ab}	Metric on $\Sigma_v \subset \mathcal{H}$
$r_H(x)$	Horizon function, (2.7)	$H_{\mu\nu}$	Induced metric on $\mathcal{H}$
$\mathcal{S}_\mathcal{H} = 0$	Eqn. of Horizon	s	Entropy (d-1)-form on Σ_λ
ξ^A	Normal vector to the Horizon (2.9)	n^μ	See (2.17)
$s_a^{(k)}$	See (2.2), (A.5), (5.1)	$s_b^{(k)}$	See (2.2), (A.5), (5.1)
$j_\mu^{(k)}$	See (2.2), (A.10), (5.1)	$t_{\mu\nu}^{(k)}$	See (2.2), (5.1)
T	Fluid temperature	η	Shear viscosity
$T^{\mu\nu}$	Energy-momentum tensor	J_S^μ	Entropy current
u^μ	Fluid velocity ($u^\mu u_\mu = -1$)	$P^{\mu\nu}$	Projection tensor, $\eta^{\mu\nu} + u^\mu u^\nu$
a^μ	Fluid acceleration, (A.2)	ϑ	Fluid expansion, (A.2)
$\sigma_{\mu\nu}$	Shear strain rate, (A.2)	$\omega_{\mu\nu}$	Fluid vorticity, (A.2)
$\pi_{\mu\nu}$	Visco-elastic stress		
$\mathcal{D}_\mu$	Weyl-covariant derivative	$\mathcal{A}_\mu$	See (B.4)
$R_{\mu\nu\lambda}{}^\sigma$	Riemann tensor	$\mathcal{F}_{\mu\nu}$	$\nabla_\mu \mathcal{A}_\nu - \nabla_\nu \mathcal{A}_\mu$
$R_{\mu\nu}, R$	Ricci tensor/scalar	$\mathcal{R}_{\mu\nu}, \mathcal{R}$	See (B.4)
$G_{\mu\nu}$	Einstein tensor	$\mathcal{G}_{\mu\nu}$	See (B.4)
$C_{\mu\nu\lambda\sigma}$	Weyl curvature		

Table 1: Conventions used in the text

In addition, we will have occasion at various points in the text to encounter various functions built out of the first derivatives of the fluid velocity defined in (A.2). These functions were defined in [33] to present the second order metric, and show up for example in (5.1). We have:

$$
\begin{aligned}
&\mathbf{s}_3 = \frac{1}{b} P^{\alpha\beta} \partial_\alpha \partial_\beta b \qquad \mathfrak{S}_1 = \mathcal{D} u^\alpha \, \mathcal{D} u_\alpha \,, \qquad \mathfrak{S}_2 = \ell_\mu \, \mathcal{D} u^\mu \\
&\mathfrak{S}_3 = (\partial_\mu u^\mu)^2 \,, \qquad \mathfrak{S}_4 = \ell_\mu \, \ell^\mu \,, \qquad \mathfrak{S}_5 = \sigma_{\mu\nu} \, \sigma^{\mu\nu} \,.
\end{aligned}
\tag{A.3}
$$

where $\mathcal{D} = u^\mu \, \partial_\mu$ (Note that this is a different derivative from the Weyl covariant derivative

introduced in Appendix B; the distinction should be clear from the context).

$$
\mathbf{v}_{4\nu} = \frac{9}{5} \left[\frac{1}{2} P_\nu^\alpha \, P^{\beta\gamma} \, \partial_\gamma \, (\partial_\beta u_\alpha + \partial_\alpha u_\beta) - \frac{1}{3} \, P^{\alpha\beta} \, P_\nu^\gamma \, \partial_\gamma \partial_\alpha u_\beta \right] - P_\nu^\mu \, P^{\alpha\beta} \, \partial_\alpha \partial_\beta u_\mu
$$
$$
\mathbf{v}_{5\nu} = P_\nu^\mu \, P^{\alpha\beta} \partial_\alpha \partial_\beta u_\mu \tag{A.4}
$$
$$
\mathfrak{V}_{1\nu} = \partial_\alpha u^\alpha \, \mathcal{D}u_\nu \,, \qquad \mathfrak{V}_{2\nu} = \epsilon_{\alpha\beta\gamma\nu} \, u^\alpha \, \mathcal{D}u^\beta \, \ell^\gamma \,, \qquad \mathfrak{V}_{3\nu} = \sigma_{\alpha\nu} \, \mathcal{D}u^\alpha \,.
$$

A.2   The functions appearing in the second order metric

The metric (2.2) derived in [33] has been rewritten in terms of various auxiliary functions used to define $\mathcal{S}(r, x^\mu)$ and $\chi_{\mu\nu}(r, x^\mu)$. These functions can be read off from Eq (5.25) of [33]; we list them here for convenience.[27]

Scalars under $SO(3)$ spatial rotations: The scalar functions appearing at first and second order are respectively,

$$
s_a^{(1)}(r, x^\mu) = 0
$$
$$
s_a^{(2)}(r, x^\mu) = \frac{3}{2} \, b^2 \, h_2(b\,r)
$$
$$
s_b^{(1)}(r, x^\mu) = \frac{2}{3} \, r \, \partial_\lambda u^\lambda \tag{A.5}
$$
$$
s_b^{(2)}(r, x^\mu) = \frac{1}{r^2} \, \frac{k_2(b\,r)}{b^2}
$$

in terms of several functions of r which are given as

$$
F(r) = \frac{1}{4} \left[\ln \left(\frac{(1+r)^2(1+r^2)}{r^4} \right) - 2 \, \arctan(r) + \pi \right] \tag{A.6}
$$

Defining

$$
S_h(r) \equiv \frac{1}{3\,r^3} \, \mathfrak{S}_4 + \frac{1}{2} \, W_h(r) \, \mathfrak{S}_5
$$
$$
S_k(r) \equiv 12r^3 \, h_2(r) + (3\,r^4 - 1) \, h_2'(r) - \frac{4\,r}{3} \, \mathbf{s}_3 + 2\,r\, \mathfrak{S}_1 - \frac{2\,r}{9} \, \mathfrak{S}_3 + \frac{1+2\,r^4}{6\,r^3} \, \mathfrak{S}_4 + \frac{1}{2} \, W_k(r) \, \mathfrak{S}_5 \,, \tag{A.7}
$$

---

[27] One notational change we have made is to rename the functions $\alpha_{\mu\nu}^{(k)}$ appearing in [33] to $t_{\mu\nu}^{(k)}$. We don't list this here as it doesn't appear directly in our analysis of the entropy current.

where the functions $W_h(r)$ and $W_k(r)$ are given by

$$W_h(r) = \frac{4}{3} \frac{(r^2+r+1)^2 - 2(3r^2+2r+1)\,F(r)}{r\,(r+1)^2\,(r^2+1)^2}\ ,$$

$$W_k(r) = \frac{2}{3} \frac{4\,(r^2+r+1)\,(3r^4-1)\,F(r) - (2r^5+2r^4+2r^3-r-1)}{r\,(r+1)\,(r^2+1)}\ .$$

The other symbols $\mathbf{s}_3$, $\mathfrak{S}_1$, *etc.*, are defined in (A.3). We can now write the expressions for the functions appearing in the definition of $s_{a,b}^{(2)}$ as

$$h_2(r) = -\frac{1}{4\,r^2}\,S_h^\infty + \int_r^\infty \frac{dx}{x^5} \int_x^\infty dy\,y^4\left(S_h(y) - \frac{1}{y^3}\,S_h^\infty\right)$$

$$k_2(r) = \frac{r^2}{2}\,S_k^\infty - \int_r^\infty dx\,(S_k(x) - x\,S_k^\infty)\ . \tag{A.8}$$

where we have defined

$$S_h^\infty = \left(\frac{1}{3}\,\mathfrak{S}_4 + \frac{2}{3}\,\mathfrak{S}_5\right)\ , \qquad S_k^\infty \equiv \left(-\frac{4}{3}\,\mathbf{s}_3 + 2\,\mathfrak{S}_1 - \frac{2}{9}\,\mathfrak{S}_3 - \frac{1}{6}\,\mathfrak{S}_4 + \frac{7}{3}\,\mathfrak{S}_5\right)\ . \tag{A.9}$$

Vectors under $SO(3)$ spatial rotations: The vector functions appearing at first and second order are respectively,

$$j_\mu^{(1)}(r, x^\mu) = -r\,u^\alpha\,P_\mu^\beta\,\partial_\alpha u_\beta$$

$$j_\mu^{(2)}(r, x^\mu) = -\frac{1}{b^2\,r^2}\,P_\mu^\alpha\left(-\frac{r^2}{36}\,\mathbf{B}_\alpha^\infty + \int_r^\infty dx\,x^3 \int_x^\infty dy\left(\mathbf{B}_\alpha(y) - \frac{1}{9\,y^3}\,\mathbf{B}_\alpha^\infty\right)\right) \tag{A.10}$$

where

$$\mathbf{B}(r) = \frac{(2\,r^3 + 2r^2 + 2\,r - 3)\,\mathbf{B}^\infty + \mathbf{B}^{\text{fin}}}{18\,r^3\,(r+1)\,(r^2+1)} \tag{A.11}$$

with

$$\mathbf{B}^\infty = 4\,(10\,\mathbf{v}_4 + \mathbf{v}_5 + 3\,\mathfrak{V}_1 - 3\,\mathfrak{V}_2 - 6\,\mathfrak{V}_3)$$

$$\mathbf{B}^{\text{fin}} = 9\,(20\,\mathbf{v}_4 - 5\,\mathfrak{V}_2 - 6\,\mathfrak{V}_3)\ , \tag{A.12}$$

The symbols $\mathbf{v}k$ and $\mathfrak{V}k$ are defined above in (A.4) as derivatives of the fluid velocity.

B Weyl covariant formalism

In this appendix, we present the various results related to Weyl covariance in hydrodynamics that are relevant to this paper. The conformal nature of the boundary fluid dynamics

strongly constrains the form of the stress tensor and the entropy current [32, 40]. An efficient way of exploiting this symmetry is to employ a manifestly Weyl-covariant formalism for hydrodynamics that was introduced in the reference [40].

In brief, for an arbitrary tensor with weight w, one defines a Weyl-covariant derivative[28]

$$\begin{aligned}
\mathcal{D}_\lambda\, Q^{\mu\dots}_{\nu\dots} \equiv \nabla_\lambda\, Q^{\mu\dots}_{\nu\dots} + w\, \mathcal{A}_\lambda Q^{\mu\dots}_{\nu\dots} \\
+ \left[g_{\lambda\alpha}\mathcal{A}^\mu - \delta^\mu_\lambda \mathcal{A}_\alpha - \delta^\mu_\alpha \mathcal{A}_\lambda\right] Q^{\alpha\dots}_{\nu\dots} + \dots \\
- \left[g_{\lambda\nu}\mathcal{A}^\alpha - \delta^\alpha_\lambda \mathcal{A}_\nu - \delta^\alpha_\nu \mathcal{A}_\lambda\right] Q^{\mu\dots}_{\alpha\dots} - \dots
\end{aligned} \tag{B.1}$$

where the Weyl-connection $\mathcal{A}_\mu$ is related to the fluid velocity via the relation

$$\mathcal{A}_\mu = u^\lambda \nabla_\lambda u_\mu - \frac{\nabla_\lambda u^\lambda}{d-1}u_\mu \tag{B.2}$$

We shall exploit the manifest Weyl covariance of this formalism to establish certain results concerning the entropy current that are relevant to the discussion in the main text.

In § B.1, we write down the most general Weyl-covariant entropy current and compute its divergence. This computation leads us directly to an analysis of the constraints on the entropy current imposed by the second law of thermodynamics. This analysis generalizes and completes the analysis in [40] where a particular example of an entropy current which satisfies the second law was presented. Following that, in § B.2, we rewrite the results of this paper in a Weyl-covariant form and show that the expression for the entropy current derived in this paper satisfies the constraint derived in § B.1. This is followed by a discussion in § B.3 on the ambiguities in the definition of the entropy current.

B.1 Constraints on the entropy current: Weyl covariance and the second law

We begin by writing down the most general derivative expansion of the entropy current in terms Weyl-covariant vectors of weight 4.[29] After taking into account the equations of motion and various other identities, the most general entropy current consistent with Weyl covariance can be written as:

$$\begin{aligned}
(4\pi\,\eta)^{-1} J^\mu_S = 4\, G^{(5)}_N\, b^3\, J^\mu_S = \Big[1 + b^2\left(A_1\,\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} + A_2\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + A_3\,\mathcal{R}\right)\Big] u^\mu \\
+ b^2\left[B_1\,\mathcal{D}_\lambda \sigma^{\mu\lambda} + B_2\,\mathcal{D}_\lambda \omega^{\mu\lambda}\right] \\
+ C_1\, b\, \ell^\mu + C_2\, b^2 u^\lambda\, \mathcal{D}_\lambda \ell^\mu + \dots
\end{aligned} \tag{B.3}$$

---

[28]In contrast to the analysis in the main text, we find it convenient here to work with an arbitrary background metric, whose associated torsion-free connection is used to define the covariant derivative ∇_μ.

[29]We will restrict attention to fluid dynamics in $3+1$ dimensions.

where $b = (\pi T)^{-1}$ and we have already assumed the leading order result for the entropy density $s = 4\pi\eta = (4 G_N^{(5)} b^3)^{-1}$ and $\ell^\mu = \epsilon^{\alpha\beta\nu\mu}\omega_{\alpha\beta}u_\nu$.[30]

Now, we want to derive the constraints imposed by the second law on the A,B and C coefficients appearing above. To this end, we take the divergence of the entropy current above to get

$$
\begin{aligned}
4 G_N^{(5)} b^3 \, \mathcal{D}_\mu J_S^\mu = &- 3b^{-1}\, u^\mu\, \mathcal{D}_\mu b - 2\, C_1\, \ell^\mu \mathcal{D}_\mu b \\
&+ b^2\, \mathcal{D}_\mu \left[\left(A_1\, \sigma_{\alpha\beta}\, \sigma^{\alpha\beta} + A_2\, \omega_{\alpha\beta}\, \omega^{\alpha\beta} + A_3\, \mathcal{R} \right)\, u^\mu \right. \\
&\left. + \left(B_1\, \mathcal{D}_\lambda \sigma^{\mu\lambda} + B_2\, \mathcal{D}_\lambda \omega^{\mu\lambda} + C_2\, u^\lambda\, \mathcal{D}_\lambda \ell^\mu \right) \right] + \dots
\end{aligned}
$$
(B.5)

where we have used the facts that $\mathcal{D}_\mu \ell^\mu = 0$ and that $\mathcal{D}_\mu b$ gets non-zero contributions only at second order (B.7). Further, $u^\lambda \mathcal{F}_{\mu\lambda}$ gets non-zero contributions only at third order (the equations of motion force $u^\lambda \mathcal{F}_{\mu\lambda} = 0$ at second order).

In order to simplify the expression further, we need the equations of motion. Let us write the stress tensor in the form

$$
T^{\mu\nu} = (16\pi G_N^{(5)} b^4)^{-1} \left(\eta^{\mu\nu} + 4\, u^\mu u^\nu \right) + \pi^{\mu\nu}
$$
(B.6)

where $\pi_{\mu\nu}$ is transverse – $u^\nu \pi_{\mu\nu} = 0$. This would imply

$$
\begin{aligned}
0 = b^4\, u_\mu\, \mathcal{D}_\nu T^{\mu\nu} &= b^4\, \mathcal{D}_\nu(u_\mu T^{\mu\nu}) - b^4\, (\mathcal{D}_\nu u_\mu)\, T^{\mu\nu} \\
&\implies 4 \left(\frac{3}{b}\, u^\mu \mathcal{D}_\mu b - \frac{b}{4\,\eta}\, \sigma_{\mu\nu} \pi^{\mu\nu} \right) = 0
\end{aligned}
$$
(B.7)

where we have multiplied the equation by $16\pi G_N^{(5)}$ in the second line to express things compactly. Similarly, we can write $2\, \ell^\mu \mathcal{D}_\mu b = -b^2\, \ell_\mu \mathcal{D}_\lambda \sigma^{\mu\lambda}$ which is exact upto third order in the derivative expansion. Note that these are just the Weyl-covariant forms of the equations that we have already encountered in (5.3).

We further invoke the following identities(which follow from the identities proved in the

Appendix A of [40]) [31]

$$\mathcal{D}_\mu(\sigma_{\alpha\beta}\,\sigma^{\alpha\beta}\,u^\mu) = 2\,\sigma_{\mu\nu}\,u^\lambda \mathcal{D}_\lambda\sigma^{\mu\nu}$$

$$\mathcal{D}_\mu(\omega_{\alpha\beta}\,\omega^{\alpha\beta}\,u^\mu) = 4\sigma^{\mu\nu}\,\omega_\mu{}^\alpha\,\omega_{\alpha\nu} - 2\,\mathcal{D}_\mu\mathcal{D}_\lambda\omega^{\mu\lambda}$$

$$\mathcal{D}_\mu(\mathcal{R}\,u^\mu) = -2\,\sigma_{\mu\nu}\,\mathcal{R}^{\mu\nu} + \mathcal{D}_\mu\left[-2\,\mathcal{D}_\lambda\sigma^{\mu\lambda} + 2\,\mathcal{D}_\lambda\omega^{\mu\lambda} + 4\,u_\lambda\,\mathcal{F}^{\mu\lambda}\right]$$

$$-2\,\sigma_{\mu\nu}\mathcal{R}^{\mu\nu} = 4\,\sigma_{\mu\nu}\left[u^\lambda \mathcal{D}_\lambda\sigma^{\mu\nu} + \omega^{\mu\alpha}\,\omega_\alpha{}^\nu + \sigma^{\mu\alpha}\,\sigma_\alpha{}^\nu - C^{\mu\alpha\nu\beta}\,u_\alpha u_\beta\right] \qquad \text{(B.8)}$$

$$\mathcal{D}_\mu(u^\lambda\,\mathcal{D}_\lambda\ell^\mu) = \mathcal{D}_\mu(\ell^\lambda\,\mathcal{D}_\lambda u^\mu) - \mathcal{F}_{\mu\nu}\,\ell^\mu u^\nu$$

$$\mathcal{D}_\mu(\ell^\lambda\,\mathcal{D}_\lambda u^\mu) = \sigma_{\mu\nu}\,\mathcal{D}^\mu\ell^\nu + \ell_\mu\,\mathcal{D}_\lambda\sigma^{\mu\lambda}$$

to finally obtain

$$
\begin{aligned}
4\,G_N^{(5)}\,b^3\,\mathcal{D}_\mu J_S^\mu &= b^2\,\sigma_{\mu\nu}\left[-\frac{\pi^{\mu\nu}}{4\,\eta\,b} + 2\,A_1\,u^\lambda\,\mathcal{D}_\lambda\sigma^{\mu\nu} + 4\,A_2\,\omega^{\mu\alpha}\,\omega_\alpha{}^\nu - 2\,A_3\,\mathcal{R}^{\mu\nu} + C_2\,\mathcal{D}^\mu\ell^\nu\right] \\
&\quad + (B_1 - 2A_3)\,b^2\,\mathcal{D}_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + (C_1 + C_2)\,b^2\,\ell_\mu\,\mathcal{D}_\lambda\sigma^{\mu\lambda} + \dots \\
&= b^2\sigma_{\mu\nu}\left[-\frac{\pi^{\mu\nu}}{4\,\eta\,b} + (2A_1 + 4A_3)\,u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu} + 4\,(A_2 + A_3)\,\omega^{\mu\alpha}\,\omega_\alpha{}^\nu + 4\,A_3\,\sigma^{\mu\alpha}\,\sigma_\alpha{}^\nu + C_2\,\mathcal{D}^\mu\ell^\nu\right] \\
&\quad + (B_1 - 2A_3)\,b^2\,\mathcal{D}_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + (C_1 + C_2)\,b^2\,\ell_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + \dots
\end{aligned}
\qquad \text{(B.9)}
$$

Substituting the value of $\pi^{\mu\nu}$ as calculated from the known stress tensor, we find

$$
\begin{aligned}
4\,G_N^{(5)}\,b^3\,\mathcal{D}_\mu J_S^\mu &= b^2\sigma_{\mu\nu}\left[\frac{\sigma^{\mu\nu}}{2\,b} + \left(2\,A_1 + 4\,A_3 - \frac{1}{2} + \frac{1}{4}\,\ln 2\right)u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu}\right. \\
&\quad \left. + 4\,(A_2 + A_3)\,\omega^{\mu\alpha}\,\omega_\alpha{}^\nu + \left(4\,A_3 - \frac{1}{2}\right)(\sigma^{\mu\alpha}\,\sigma_\alpha{}^\nu) + C_2\,\mathcal{D}^\mu\ell^\nu\right] \\
&\quad + (B_1 - 2A_3)\,b^2\,\mathcal{D}_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + (C_1 + C_2)\,b^2\,\ell_\mu\,\mathcal{D}_\lambda\sigma^{\mu\lambda} + \dots \quad \text{(B.10)}
\end{aligned}
$$

This expression can in turn be rewritten in a more useful form by isolating the terms that are manifestly non-negative:

$$
\begin{aligned}
4\,G_N^{(5)}\,b^3\,\mathcal{D}_\mu J_S^\mu &= \frac{b}{2}\left[\sigma_{\mu\nu} + b\left(2\,A_1 + 4\,A_3 - \frac{1}{2} + \frac{1}{4}\,\ln 2\right)u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu} + 4\,b\,(A_2 + A_3)\,\omega^{\mu\alpha}\omega_\alpha{}^\nu\right. \\
&\quad \left. + b\,(4\,A_3 - \frac{1}{2})(\sigma^{\mu\alpha}\,\sigma_\alpha{}^\nu) + b\,C_2\,\mathcal{D}^\mu\ell^\nu\right]^2 \\
&\quad + (B_1 - 2A_3)\,b^2\,\mathcal{D}_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + (C_1 + C_2)\,b^2\,\ell_\mu\mathcal{D}_\lambda\sigma^{\mu\lambda} + \dots \qquad \text{(B.11)}
\end{aligned}
$$

The second law requires that the right hand side of the above equation be positive

---

[31]Since we are only interested in the case where boundary is conformally flat, we will consistently neglect terms proportional to the Weyl curvature in the following.

semi-definite at every point in the boundary. First, we note from (B.11) that the first two lines are positive semi-definite whereas the terms in the third line are not – given a velocity configuration in which the third line evaluates to a particular value, as argued in the main text, we can always construct another configuration to get a contribution with opposite sign. Consider, in particular, points in the boundary where $\sigma_{\mu\nu} = 0$ – at such points, the contribution of the first two lines become subdominant in the derivative expansion to the contribution from the third line. The entropy production at these points can be positive semi-definite only if the combination the coefficients appearing in the third line vanish identically.

Hence, we conclude that the second law gives us two constraints relating A,B and C, viz.,

$$B_1 = 2\,A_3 \qquad\qquad C_1 + C_2 = 0 \qquad\qquad (B.12)$$

Any entropy current which satisfies the above relations constitutes a satisfactory proposal for the entropy current from the viewpoint of the second law.

One simple expression for such an entropy current which satisfies the above requirements was proposed in [40]. The J_s^λ proposed there is given by

$$(4\pi\,\eta)^{-1}\,J_S^\lambda = u^\lambda - \frac{b^2}{8}\,\left[(\ln 2\,\sigma^{\mu\nu}\sigma_{\mu\nu} + \omega^{\mu\nu}\,\omega_{\mu\nu})\,u^\lambda + 2\,u_\mu\,(\mathcal{G}^{\mu\lambda} + \mathcal{F}^{\mu\lambda}) + 6\,\mathcal{D}_\nu\omega^{\lambda\nu}\right] + \ldots \qquad (B.13)$$

Now, using the identity

$$u_\mu\,(\mathcal{G}^{\mu\lambda} + \mathcal{F}^{\mu\lambda}) = -\frac{\mathcal{R}}{2}\,u^\lambda - \mathcal{D}_\nu\sigma^{\lambda\nu} - \mathcal{D}_\nu\omega^{\lambda\nu} + 2\,u_\mu\mathcal{F}^{\lambda\mu} \qquad (B.14)$$

and the equations of motion, we can rewrite the above expression in the form appearing in (B.3) to get the value of A,B and C coefficients as

$$A_1 = -\frac{\ln 2}{8}; \qquad A_2 = -\frac{1}{8}; \qquad A_3 = \frac{1}{8}$$
$$B_1 = \frac{1}{4}; \qquad B_2 = -\frac{1}{2} \qquad\qquad (B.15)$$
$$C_1 = C_2 = 0$$

It can easily be checked that these values satisfy the constraints listed in (B.12). Further, for these values, the divergence of the entropy current simplifies considerably and we get

$$4\,G_N^{(5)}\,b^3\,\mathcal{D}_\mu J_S^\mu = \frac{b}{2}\,\sigma_{\mu\nu}\,\sigma^{\mu\nu} \qquad\qquad (B.16)$$

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However, as the analysis in this section shows, this proposal is just one entropy current among a class of entropy currents that satisfy the second law. This is not surprising, since (as was noted in [40]) the second law alone cannot determine the entropy current uniquely.

B.2   Entropy current and entropy production from gravity

We now calculate the coefficients A_i's and B_i's for the actual entropy current calculated from gravity in (5.7) and check whether the they obey the constraints in (B.12). Unlike the proposal in [40] , the entropy current derived in § 5 takes into account the detailed microscopic dynamics(of which hydrodynamics is an effective description) encoded in the dual gravitational description.

In order to cast the entropy current in the form given by (B.3) , we have to first rewrite the quantities appearing in this paper in a Weyl-covariant form. We have the following relations in the flat spacetime which identify the Weyl-covariant forms appearing in the second-order metric of [33] –

$$
\mathfrak{S}_4 = 2\,\omega_{\alpha\beta}\,\omega^{\alpha\beta}; \qquad \mathfrak{S}_5 = \sigma_{\alpha\beta}\,\sigma^{\alpha\beta};
$$

$$
-\frac{4}{3}\,\mathbf{s}_3 + 2\,\mathfrak{S}_1 - \frac{2}{9}\,\mathfrak{S}_3 = \frac{2}{3}\,\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{2}{3}\,\omega_{\alpha\beta}\omega^{\alpha\beta} + \frac{1}{3}\,\mathcal{R}
$$

$$
\frac{5}{9}\,\mathbf{v}_{4\mu} + \frac{5}{9}\,\mathbf{v}_{5\mu} + \frac{5}{3}\,\mathfrak{V}_{1\mu} - \frac{5}{12}\,\mathfrak{V}_{2\mu} - \frac{11}{6}\,\mathfrak{V}_{3\mu} = P^\nu_\mu\,\mathcal{D}_\lambda\sigma_\nu{}^\lambda
$$

$$
\frac{15}{9}\,\mathbf{v}_{4\mu} - \frac{1}{3}\,\mathbf{v}_{5\mu} - \mathfrak{V}_{1\mu} - \frac{1}{4}\,\mathfrak{V}_{2\mu} + \frac{1}{2}\,\mathfrak{V}_{3\mu} = P^\nu_\mu\,\mathcal{D}_\lambda\omega_\nu{}^\lambda
$$

(B.17)

These can be used to obtain

$$
\begin{aligned}
\mathbf{B}^\infty_\mu &= 18\,P^\nu_\mu\,\mathcal{D}_\lambda\sigma_\nu{}^\lambda + 18\,P^\nu_\mu\,\mathcal{D}_\lambda\omega_\nu{}^\lambda \\
&= 18\left(-\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} + \omega_{\alpha\beta}\,\omega^{\alpha\beta}\right)u_\mu + 18\,\mathcal{D}_\lambda\sigma_\mu{}^\lambda + 18\,\mathcal{D}_\lambda\omega_\mu{}^\lambda \\
\mathbf{B}^{\text{fin}}_\mu &= 54\,P^\nu_\mu\,\mathcal{D}_\lambda\sigma_\nu{}^\lambda + 90\,P^\nu_\mu\,\mathcal{D}_\lambda\omega_\nu{}^\lambda \\
&= \left(-54\,\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} + 90\,\omega_{\alpha\beta}\,\omega^{\alpha\beta}\right)u_\mu + 54\,\mathcal{D}_\lambda\sigma_\mu{}^\lambda + 90\,\mathcal{D}_\lambda\omega_\mu{}^\lambda
\end{aligned}
$$

(B.18)

Hence, all the second-order scalar and the vector contributions to the metric can be written in terms of three Weyl-covariant scalars $\sigma_{\alpha\beta}\,\sigma^{\alpha\beta}$, $\omega_{\alpha\beta}\,\omega^{\alpha\beta}$ and $\mathcal{R}$ and two Weyl-covariant vectors $\mathcal{D}_\lambda\sigma_\mu{}^\lambda$ and $\mathcal{D}_\lambda\omega_\mu{}^\lambda$.

Using the above expressions, we can rewrite the second order scalar and the vector

contributions to the entropy current appearing in (5.1) as

$$s_a^{(2)} = \frac{3}{2} s_c^{(2)} = -\frac{b^2}{4} \left(\frac{1}{2} + \ln 2 + 3\,\mathcal{C} + \frac{\pi}{4} + \frac{5\pi^2}{16} - \left(\frac{3}{2}\ln 2 + \frac{\pi}{4} \right)^2 \right) \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{b^2}{4}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta}$$

$$s_b^{(2)} = \left(\frac{1}{2} + \frac{2}{3}\ln 2 + \mathcal{C} + \frac{\pi}{6} + \frac{5\,\pi^2}{48} \right) \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{1}{2}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + \frac{1}{6}\,\mathcal{R}$$

$$\text{(B.19)}$$

while the vector contribution is given as

$$j_\mu^{(2)} = P_\mu^\nu \left[\frac{3}{4}\mathcal{D}_\lambda\sigma_\nu{}^\lambda + \frac{1}{2}\mathcal{D}_\lambda\omega_\nu{}^\lambda \right] = \left(-\frac{3}{4}\,\sigma_{\alpha\beta}\,\sigma^{\alpha\beta} + \frac{1}{2}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} \right) u_\mu + \frac{3}{4}\mathcal{D}_\lambda\sigma_\mu{}^\lambda + \frac{1}{2}\mathcal{D}_\lambda\omega_\mu{}^\lambda$$

$$\text{(B.20)}$$

Now, we use (5.4), (5.5) and (5.6) to write r_H, n^μ and $\sqrt{g}$ in Weyl covariant form as follows:

$$r_H = \frac{1}{b} \left(1 + \frac{b^2}{4} \left[\left(\frac{5}{6} + \frac{2}{3}\ln 2 + \mathcal{C} + \frac{\pi}{6} + \frac{5\,\pi^2}{48} \right) \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{1}{2}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + \frac{1}{6}\,\mathcal{R} \right] \right) \quad \text{(B.21)}$$

$$n^\mu = \left(1 - \frac{b^2}{4} \left[\frac{1}{2} + \ln 2 + 3\,\mathcal{C} + \frac{\pi}{4} + \frac{5\,\pi^2}{16} - \left(\frac{3}{2}\ln 2 + \frac{\pi}{4} \right)^2 \right] \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{b^2}{4}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} \right) u^\mu$$

$$+ b^2\,P_\mu^\nu \left(\frac{1}{4}\mathcal{D}_\lambda\sigma_\nu{}^\lambda + \frac{1}{2}\mathcal{D}_\lambda\omega_\nu{}^\lambda \right) \tag{B.22}$$

$$\sqrt{g} = \frac{1}{b^3} \left(1 + \frac{b^2}{4} \left[\left(2 + \ln 2 + \frac{\pi}{4} \right) \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{5}{2}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + \frac{1}{2}\,\mathcal{R} \right] . \right) \tag{B.23}$$

Putting all of these together we can finally obtain the expression for the entropy current:

$$4\,G_N^{(5)}\,b^3\,J_S^\mu = \left(1 + b^2 \left[\left(\frac{1}{2} + \frac{1}{4}\ln 2 + \frac{\pi}{16} \right] \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{5}{8}\,\omega_{\alpha\beta}\omega^{\alpha\beta} + \frac{1}{8}\,\mathcal{R} \right] \right) u^\mu$$

$$+ b^2\,P_\mu^\nu \left(\frac{1}{4}\mathcal{D}_\lambda\sigma_\nu{}^\lambda + \frac{1}{2}\mathcal{D}_\lambda\omega_\nu{}^\lambda \right)$$

$$= \left(1 + b^2 \left[\left(\frac{1}{4} + \frac{1}{4}\ln 2 + \frac{\pi}{16} \right) \sigma_{\alpha\beta}\,\sigma^{\alpha\beta} - \frac{1}{8}\,\omega_{\alpha\beta}\,\omega^{\alpha\beta} + \frac{1}{8}\,\mathcal{R} \right] \right) u^\mu$$

$$+ b^2 \left(\frac{1}{4}\mathcal{D}_\lambda\sigma^{\mu\lambda} + \frac{1}{2}\mathcal{D}_\lambda\omega^{\mu\lambda} \right) \tag{B.24}$$

from which we can read off the coefficients A, B and C appearing in the general current

(B.3)

$$A_1 = \frac{1}{4} + \frac{\pi}{16} + \frac{\ln 2}{4}; \qquad A_2 = -\frac{1}{8}; \qquad A_3 = \frac{1}{8}$$
$$B_1 = \frac{1}{4}; \qquad B_2 = \frac{1}{2} \tag{B.25}$$
$$C_1 = C_2 = 0$$

These coefficients manifestly obey the constraints laid down in (B.12) and hence, the entropy current derived from gravity obeys the second law. Further, we get the divergence of the entropy current as

$$4\,G_N^{(5)}\,b^3\,J_S^\mu = b^2\,\sigma_{\mu\nu}\left[\frac{\sigma^{\mu\nu}}{2\,b} + 2\left(\frac{1}{4} + \frac{\pi}{16} + \frac{3}{8}\ln 2\right)u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu}\right] + \dots$$
$$= \frac{b}{2}\left[\sigma^{\mu\nu} + b\left(\frac{1}{4} + \frac{\pi}{16} + \frac{3}{8}\ln 2\right)u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu}\right]^2 + \dots \tag{B.26}$$

which can alternatively be written in the form

$$T\,\mathcal{D}_\mu J_S^\mu = 2\,\eta\left[\sigma^{\mu\nu} + \frac{(\pi + 4 + 6\ln 2)}{16\pi\,T}u^\lambda\mathcal{D}_\lambda\sigma^{\mu\nu}\right]^2 + \dots \tag{B.27}$$

which gives the final expression for the rate of entropy production computed via holography.

B.3   Ambiguity in the holographic entropy current

We now examine briefly the change in the coefficients A, B and C parametrizing the arbitrary entropy current, under the ambiguity shift discussed in § 6.3, see Eq. (6.6). In particular, we want to verify explicitly that under such a shift, the entropy production still remains positive semi-definite.

The first kind of ambiguity in the entropy current arises due to the addition of an exact form to the entropy current. The only Weyl covariant exact form that can appear in the entropy current at this order is given by

$$4\,G_N^{(5)}\,b^3\,\delta J_S^\mu = \delta\lambda_0 b^2\mathcal{D}_\nu\omega^{\mu\nu} \tag{B.28}$$

which induces a shift in the above coefficients $B_2 \longrightarrow B_2 + \delta\lambda_0$.

The second kind shift in the entropy current (due to the arbitrariness in the boundary

40

to horizon map) is parametrised by a vector $\delta\zeta^\mu$ (which is Weyl-invariant) and is given by

$$
\begin{aligned}
\delta J_S^\mu &= \mathcal{L}_{\delta\zeta} J_S^\mu - J_S^\nu \nabla_\nu \delta\zeta^\mu \\
&= \mathcal{D}_\nu \left[J_S^\mu \delta\zeta^\nu - J_S^\nu \delta\zeta^\mu \right] + \delta\zeta^\mu \mathcal{D}_\nu J_S^\nu
\end{aligned}
\tag{B.29}
$$

where in the last line we have rewritten the shift in a manifestly Weyl-covariant form.

If we now write down a general derivative expansion for $\delta\zeta^\mu$ as

$$
\delta\zeta^\mu = 2 \, \delta\lambda_1 \, b \, u^\mu + \delta\lambda_2 \, b^2 \, \ell^\mu + \dots
\tag{B.30}
$$

the shift in the entropy current can be calculated using the above identities as

$$
4 \, G_N^{(5)} \, b^3 \, \delta J_S^\mu = \delta\lambda_1 \, b^2 \, \sigma_{\alpha\beta} \, \sigma^{\alpha\beta} \, u^\mu + \dots
\tag{B.31}
$$

which implies a shift in the above coefficients given by $A_1 \longrightarrow A_1 + \delta\lambda_1$.

Note that both these shifts maintain the constraints listed in (B.12) and hence, the positive semi-definite nature of the entropy production is unaffected by these ambiguities as advertised.

C Wald's "entropy form"

In this section we briefly discuss the notion of a local "entropy form", as defined by Wald, [43, 47, 57]. This is defined using a variational principle for any diffeomorphism invariant Lagrangian $\mathcal{L}$ to derive an expression for the first law of black hole mechanics. We consider a $d+1$ dimensional spacetime with metric G_{AB} which is a solution to $\mathcal{L}$'s equations of motion and denote ∇_A to be the associated covariant derivative.

C.1 Stationary black branes

Let us first consider the case of a stationary black brane, characterized by a Killing horizon $\mathcal{H}$ which is generated by a Killing vector χ^A. We normalize χ^A by the condition that it satisfies $\chi_A \nabla^A \chi^B = \chi^B$ on $\mathcal{H}$ and assume that $\mathcal{H}$ possesses a bifurcation surface Σ_b.

Consider the following $d-1$-form

$$
\mathbf{S}_{A_1 \dots A_{d-1}} = -\frac{2\pi\sqrt{-G}}{(d-1)!} \frac{\partial\mathcal{L}}{\partial R_{ABCD}} \epsilon_{A_1 \cdots A_{d-1}AB} \nabla_C \chi_D
\tag{C.1}
$$

It has been shown in [43, 47] that the entropy of the black hole S is then simply the integral of $\mathbf{S}$ over Σ_b, and it satisfies the first law of thermodynamics. Hence, (C.1) provides a local expression for the entropy- form. As is to be expected, this expression is not unique, and

suffers from ambiguities arising from: (i) the possibility of adding exact derivatives to $\mathcal{L}$, (ii) addition of a $(d-1)$ form to $\mathbf{S}$ which arises from the additive ambiguity of the Noether current up to the Hodge dual of an exact d-form, and (iii) the possibility of adding to $\mathbf{S}$ an exact $d-1$ form without changing the entropy S, *cf.* proposition 4.1 of [43]. However, in the discussion that follows, these additional terms will not be important.

It is easy to evaluate the above expression (C.1) in case of General Relativity. In this case $\mathcal{L} = \frac{1}{16\pi\, G_N^{(d+1)}} (R + \Lambda)$, and

$$\frac{\partial \mathcal{L}}{\partial R_{ABCD}} = \frac{1}{32\pi\, G_N^{(d+1)}} (G^{AC}\, G^{BD} - G^{BC}\, G^{AD}). \tag{C.2}$$

Further, on Σ_b,

$$\nabla_{[A}\chi_{B]} = \mathbf{n}_{AB} \tag{C.3}$$

where $\mathbf{n}_{AB}$ is the binormal to Σ_b, defined by

$$\mathbf{n}_{AB} = N_A\chi_B - N_B\chi_A, \tag{C.4}$$

where N^A is the "ingoing" future-directed null vector, normalized such that $N^A\,\chi_A = -1$ [32]. It is easy to show that the volume element on Σ_b is given by (see Eq. (12.5.34) of [58]):

$$\Omega_{A_1...A_{d-1}} = -\frac{\sqrt{-G}}{2(d-1)!}\, \epsilon_{A_1...A_{d-1}AB}\, \mathbf{n}^{AB} = -\frac{\sqrt{-G}}{(d-1)!}\, \epsilon_{A_1...A_{d-1}AB}\, \nabla_C\chi_D\, G^{AC}\, G^{BD} \tag{C.5}$$

Putting all this together, the entropy form $\mathbf{S}$ becomes

$$\mathbf{S}_{A_1...A_{d-1}} = \frac{1}{4\, G_N^{(d+1)}}\, \Omega_{A_1...A_{d-1}} \tag{C.6}$$

The total entropy is given by the integral

$$S = \int_{\Sigma_b} \mathbf{S}_{A_1...A_{d-1}} dx^{A_1} \wedge ... \wedge dx^{A_{d-1}} = \frac{1}{4\, G_N^{(d+1)}}\, \text{Area}\,(\Sigma_b) \tag{C.7}$$

thus reproducing the usual Bekenstein-Hawking formula. For stationary black holes the area of the bifurcation surface of course coincides with the area of the black hole horizon.

To be explicit, let us consider the example of the five dimensional stationary (boosted) black brane solution which is given by (2.2), with $\epsilon = 0$ and b and u^μ constants (independent

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[32]This normalization, together with fact that N^A, χ^A are both normal to Σ_b uniquely fixes N^A.

of x^μ). The horizon is located at $r = r_H \equiv 1/b$. Let us consider a spacelike slice $\Sigma \subset \mathcal{H}$ defined by $u_\mu \, dx^\mu = 0$. The binormal $\mathbf{n}_{AB}$ to this surface (C.4) is given in terms of the null vectors χ^A and N^A. We have the normalized Killing vector $\chi^A \frac{\partial}{\partial X^A} = \frac{1}{\kappa} u^\mu \frac{\partial}{\partial x^\mu}$ and $N_A dX^A = \kappa \left(2 \, dr - r^2 \, f(br) \, u_\mu dx^\mu \right)$. Here $\kappa = \frac{1}{2} \left(r^2 \, \partial_r f(br) \right)|_{r=r_H}$.

From (C.4) we find that the only non-vanishing components of the entropy $(d-1)$-form $\mathbf{S}$ are given by

$$\mathbf{S}_{\mu_1\mu_2...\mu_{d-1}} = \frac{r_H^{d-1}}{4 \, G_N^{(d+1)} \, (d-1)!} \, u^\mu \, \epsilon_{\mu\mu_1\mu_2...\mu_{d-1}} = \frac{\sqrt{h}}{4 \, G_N^{(d+1)} \, (d-1)!} \, \epsilon_{\mu\mu_1\mu_2...\mu_{d-1}} \, \frac{u^\mu}{u^v} \, . \quad \text{(C.8)}$$

We have used the fact that on the $(d-1)$-surface Σ, $\sqrt{h} = r_H^{d-1} \, u^v$.

The entropy form a, given in (3.10), agrees with the above expression:

$$a = \mathbf{S}_{\mu_1\mu_2...\mu_{d-1}} dx^{\mu_1} \wedge dx^{\mu_2} \wedge ... \wedge dx^{\mu_{d-1}} \quad \text{(C.9)}$$

where we note that the vector n^μ in (3.10) becomes equal to u^μ in the static case (we will discuss the dynamical situation below).

It is interesting to note that in case of higher derivative gravity, the entropy form has terms in addition to the area-form. For example, in case of Lovelock gravity, with Lagrangian density

$$\mathcal{L} = \frac{1}{16\pi \, G_N^{(d+1)}} R + \alpha \left(R_{ABCD} \, R^{ABCD} - 4 \, R_{AB} \, R^{AB} + R^2 \right), \quad \text{(C.10)}$$

the entropy form $\mathbf{S}$ as defined by (C.1) (see Eq. (72) of [43]) leads to an entropy, which has contributions from the first Chern class of the bifurcation surface:

$$S = \frac{1}{4 \, G_N^{(d+1)}} \, \text{Area} \, (\Sigma_b) + 8\pi \, \alpha \int_{\Sigma_b} R^{(d-1)} \sqrt{g^{(d-1)}} \, d^{d-1}x. \quad \text{(C.11)}$$

C.2 Dynamical horizons

The horizon of dynamical black holes (such as the generic situation with (2.2)) is not generated by a Killing field and generically one doesn't have a bifurcation surface. So the formula (C.1) cannot be applied as such. However, as argued in [43], the simplest way to proceed in this case is to develop a notion of a local bifurcation surface, and construct a "local Killing field" χ. In case of General Relativity, this leads to a definition of the entropy $(d-1)$ form $\mathbf{S}$ as in (C.1); the main distinction is that $\nabla_C \chi_D$ is interpreted as the binormal $\mathbf{n}_{CD}$ only in a sufficiently small neighbourhood close to the initially chosen surface. Hence locally, we can continue as before using the result (C.5) to arrive at the

expression

$$\mathbf{S}_{\mu_1\mu_2\ldots\mu_{d-1}} = \frac{\sqrt{h}}{4\,G_N^{(d+1)}}\, \epsilon_{\mu\mu_1\mu_2\ldots\mu_{d-1}}\, \frac{n^\mu}{n^v} \tag{C.12}$$

Here in constructing the binormal (C.4) we have used the fact that $\chi^A \propto n^A$ on $\mathcal{H}$ where n^A is defined by (2.17). As explained in [43], at any point p on a spacelike surface $\sigma \subset \mathcal{H}$ we can choose coordinates such that the expression (C.1) for the entropy remains correct (in particular, one can choose to ensure that the additional terms arising from ambiguities in defining $\mathbf{S}$ vanish), so that the above derivation of (C.12) remains valid. It is easy to see that the entropy form a, given in (3.10), agrees with the above expression in the dynamical case as well.

C.3 Second law

We saw above, in case of General Relativity in arbitrary dimensions, that the Wald definition of entropy leads to the area-form on the horizon. The divergence of the entropy current therefore is nonnegative as a consequence of Hawking's area theorem and hence obeys the second law of thermodynamics (assuming cosmic censorship). Recall that area theorem requires that the energy conditions hold; physically, the only when gravity is attractive are we guaranteed area increase. However, in case of higher derivative theories, it is not clear whether the second law is obeyed [43, 47] by the Wald entropy (*cf.*, [50, 59] for a discussion in certain special classes of higher derivative theories). This is simply because higher derivative theories violate the energy conditions and the situation is further complicated by the fact that the entropy starts to depend on the intrinsic geometry of the black hole horizon. On the other hand, from the viewpoint of the boundary theory, α' corrections simply provide a one-parameter deformation of various parameters of the fluid which must continue to obey the second law of thermodynamics. It would be interesting to resolve this puzzle (see § 7 for comments).

D Independent data in fields up to third order

There are 16, 40 and 80 independent components at first, second and third orders in the Taylor expansion of velocity and temperature.[33] These pieces of data are not all independent; they are constrained by equations of motion. The relevant equations of motion are the conservation of the stress tensor and its first and second derivatives[34] (at

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[33]For each independent function we count the number of independent partial derivatives at a given order; for the temperature we have $\partial_\mu T$, $\partial_\mu \partial_\nu T$, *etc.*.

[34]The relevant equations are just the moments of the conservation equation which arise as local constraints at higher orders.

our spacetime point) which are $4, 16$ and 40 respectively in number.[35] The terms that appear in the three kinds of equations listed above start at first, second and third order respectively. Consequently these equations may be used to cut down the independent data in Taylor series coefficients of the velocity and temperature at first second and third order to 12, 24 and 40 components respectively. We will now redo this counting keeping track of the $SO(3)$ transformation properties of all fields.

Let us list degrees of freedom by the vector (a, b, c, d, e) where a represents the number of $SO(3)$ scalars ($\mathbf{1}$), b the number of $SO(3)$ vectors ($\mathbf{3}$), *etc.*. Working up to third order we encounter terms transforming in at most the $\mathbf{9}$ representation of $SO(3)$. In this notation, the number of degrees of freedom in Taylor coefficients are $(2, 3, 1, 0, 0)$, $(3, 5, 3, 1, 0)$, and $(4, 7, 5, 3, 1)$ at first, second and third order respectively. The number of equations of motion are $(1, 1, 0, 0, 0)$, $(2, 3, 1, 0, 0)$ and $(3, 5, 3, 1, 0)$ respectively (note that the number of equations of motion at order $n+1$ is the same as the number of variables at order n). It follows from subtraction that the number of unconstrained variables at zeroth, first, second and third order respectively can be chosen to be $(1, 1, 0, 0, 0)$, $(1, 2, 1, 0, 0)$, $(1, 2, 2, 1, 0)$ and $(1, 2, 2, 1, 1)$. This choice is convenient in checking the statements about the non-negativity of the divergence of the entropy current at third order explicitly.

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